

Hyperspectral Image Processing and Analysis for Land Cover Characterization

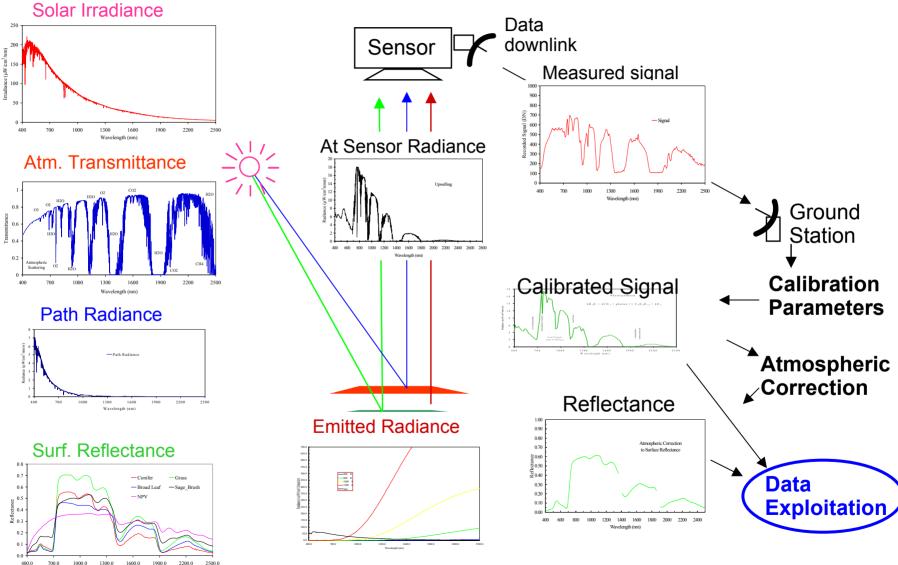
Dr. Jay Pearlman and Dr. Melba Crawford September 19, 2004

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Course Overview

- Introduction to EO Remote Sensing
 - Photon end to end flow
 - Alternative sensor configurations
- Hyperion Instrument design and trades
- Sensor Calibration
- Data Processing and Corrections
- Classification of Hyperspectral Data
 - Input space reduction
 - Feature selection and extraction
 - Output space reduction
 - Multiclassifier Systems
- A View Forward

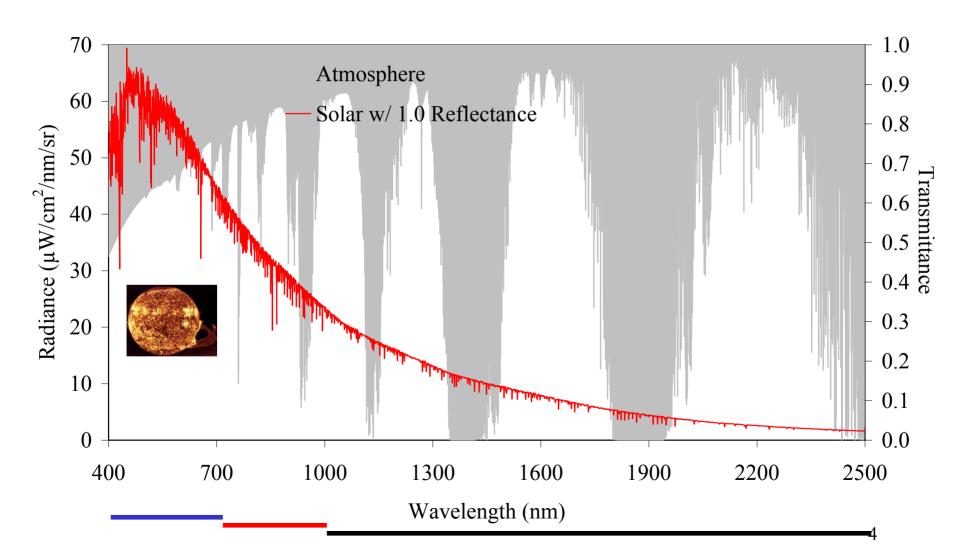
EO Remote Sensing Process Overview



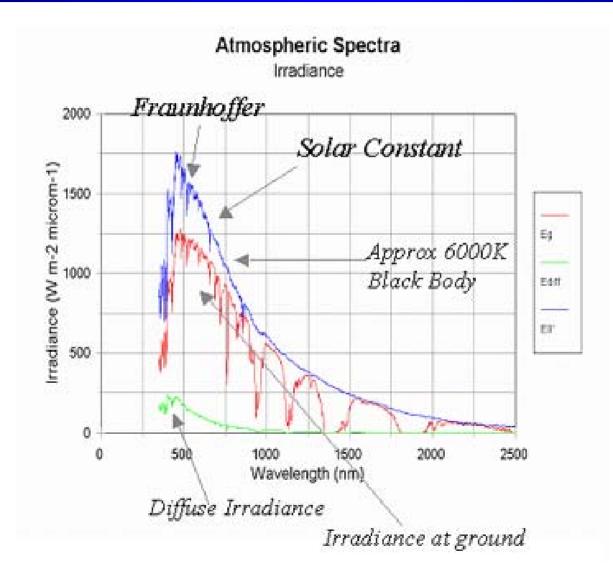
Wavelength (nm)

3 Courtesy of R. Green

Solar Spectrum and Atmospheric Transmission

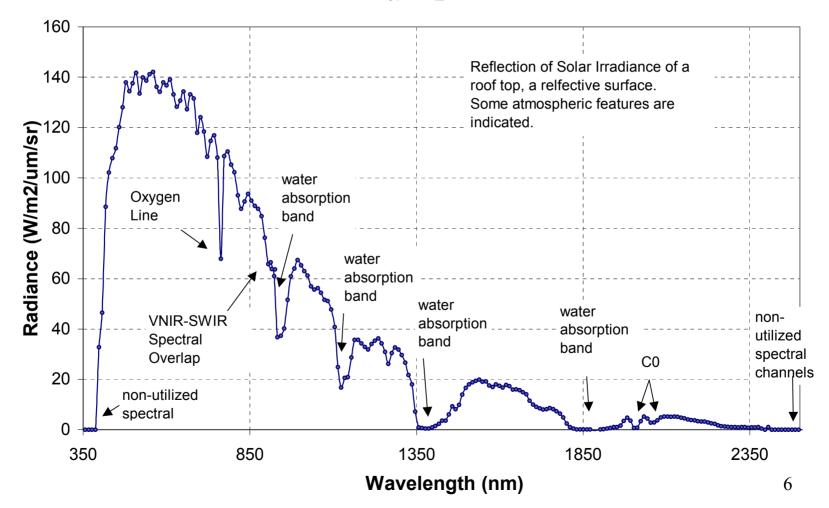


Solar Irradiance

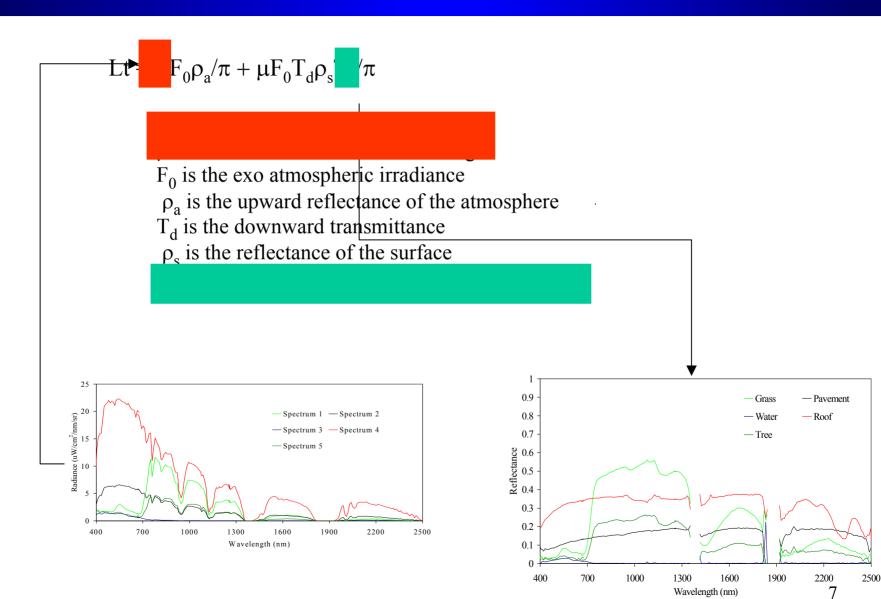


Reflection from a Metal Roof

San Francisco: January 17, 2001: Roof Top HypGain_revA



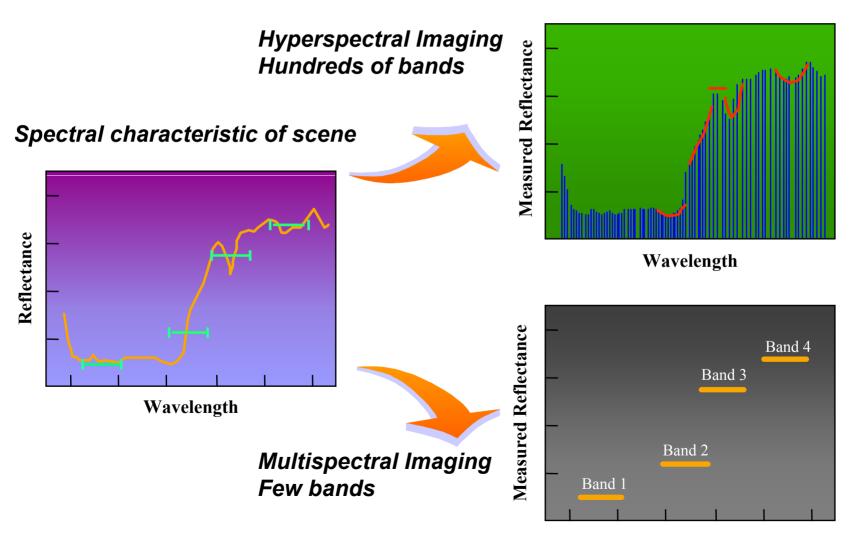
Radiance To Reflectance Inversion



Alternative Sensor Configurations

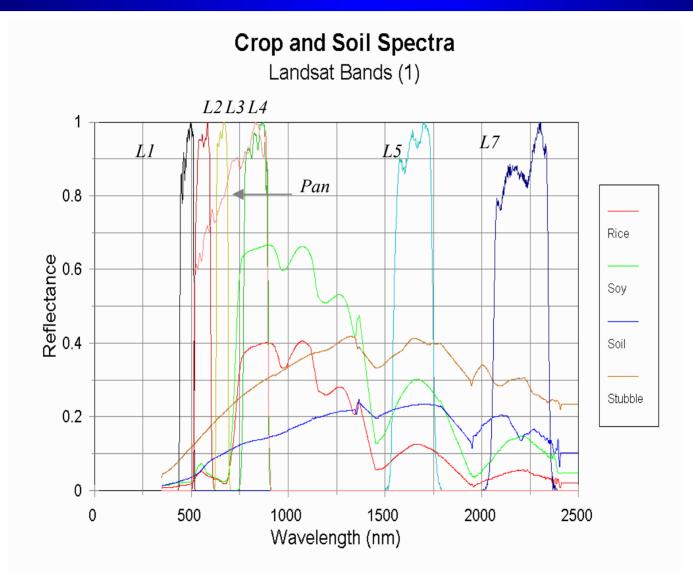
- Multispectral vs. Hyperspectral
- Whiskbroom vs. Pushbroom

Hyperspectral and Multispectral Perspectives



Wavelength

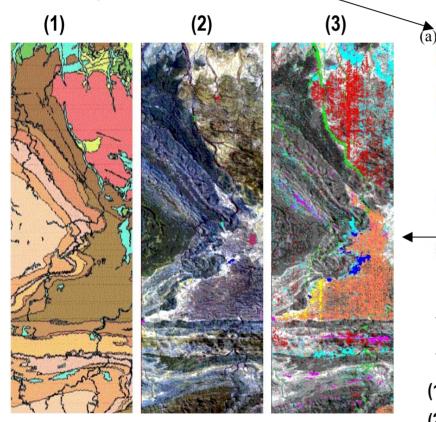
Landsat Picks the Windows – But Misses a Lot



Hyperion Maps Mt. Fitton Geology

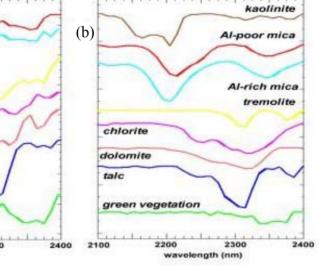
2100

Hyperion-based apparent reflectance compares with library reference spectra



Hyperion Spectra Referen

Reference Spectra



Hyperion surface composition map agrees with known geology of Mt. Fitton in South Australia

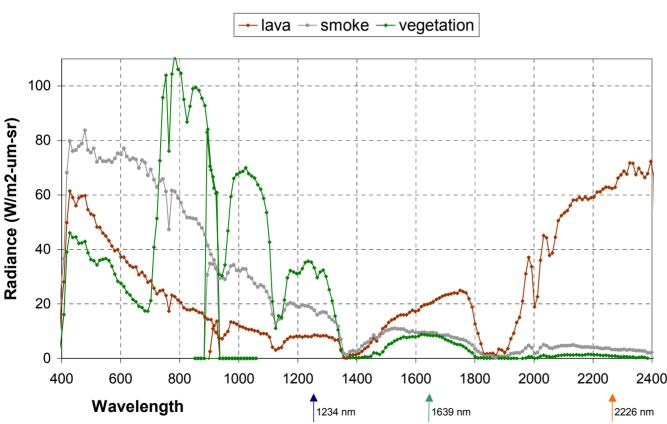
(1) Published Geologic Survey Map

wavelength (nm)

- (2) Hyperion three color image (visible) showing regions of interest
- (3) Hyperion surface composition map using SWIR spectra above

Courtesy of CSIRO, Australia

Mt. Etna Sample Spectra



Hyperion Spectra of Mt Etna Scene July 13th 2001

veg.

lava

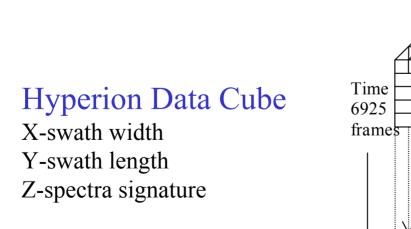
smoke

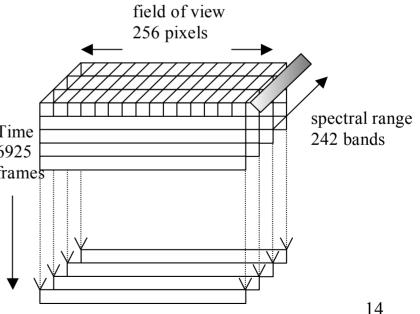
Hyperspectral Sensor Configurations

Characteristic	Whiskbroom	Pushbroom
Detectors	Linear array	2-dimensional array
Relative pixel dwell time	Shorter	Longer
Spatial uniformity	Easier	Harder
Calibration	Easier	Harder
Application domain	Airborne	Space and Airborne
Bandwidth	Similar	Similar

Hyperion Configuration

- Pushbroom configuration with common fore optics
 - 256 field-of-view locations, defines 7.7 km swath width, 30 meters each
 - 6925 frames of data, defines 185 km swath length, 30 meters each. (sample based on length of data), frame rate timed with spacecraft velocity





The Hyperion Sensor

Hyperion Imaging Spectrometer

Hyperion is a push-broom imager

- 220 10nm bands covering 400nm 2500nm
- 6% absolute rad. accuracy
- Swath width of 7.5 km
- IFOV of 42.4 µradian
- GSD of 30 m
- 12-bit image data
- Orbit is 705km alt (16 day repeat)



Hyperion Technologies

CALIBRATION (spectral/ pushbroom)



- DATA RATES

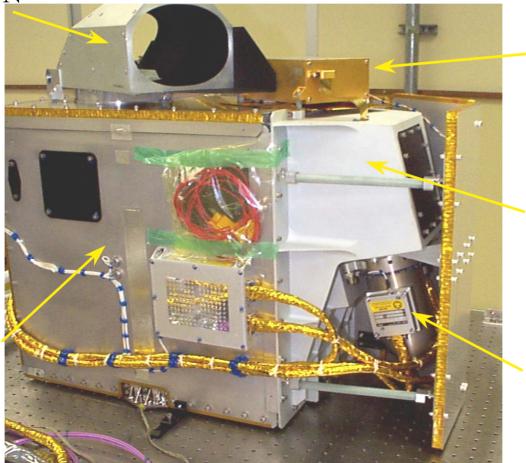
SPECTROMETER (curved grating)

Pulse Tube CRYOCOOLER

Reflecting TELESCOPE

Hyperion Sensor Assembly

Baffle / CALIBRATION



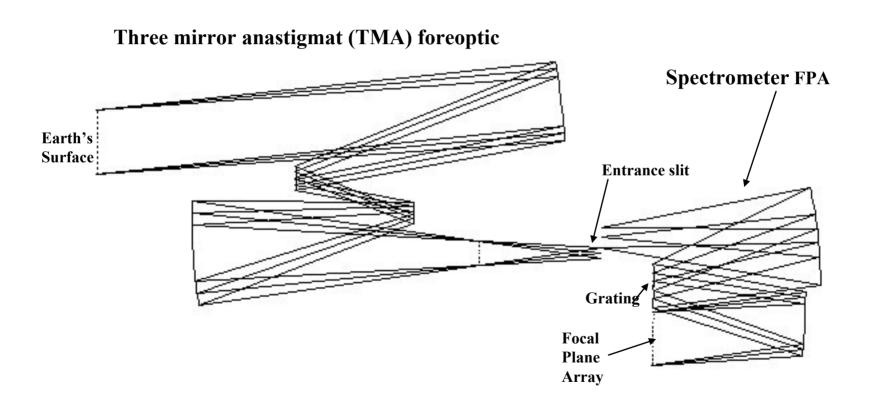
Signal processor

Spectrometer

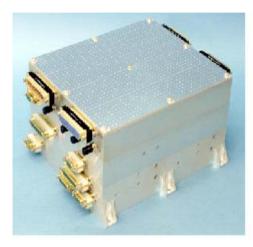
Pulse Tube CRYOCOOLER

Reflecting TELESCOPE

Hyperion Optical System



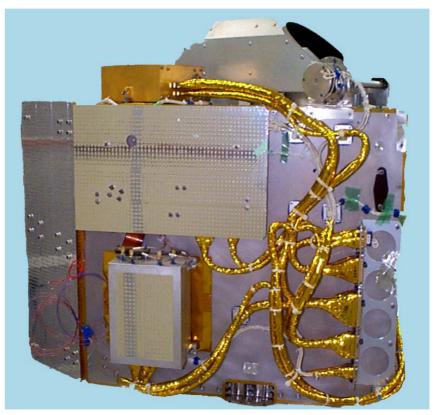
Hyperion Subassemblies



Hyperion Electronics Assembly (HEA)

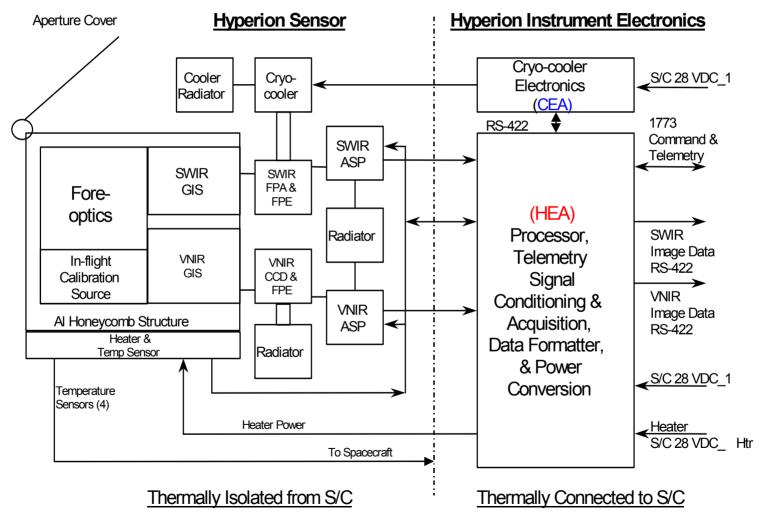


Cryocooler Electronics Assembly (CEA)



Hyperion Sensor Assembly (HSA)

Hyperion Block Diagram



Hyperion Key Characteristics

	Characteristic	Pre-launch	On-orbit
ے	GSD (m)	29.88	30.38
	Swath (km)	7.5	7.75
OPTICAL	VNIR MTF @ 630nm	0.22-0.28	0.23-0.27
OP	SWIR MTF @ 1650nm	0.25-0.27	0.28
	VNIR X-trk Spec. Error	2.8nm@655nm	2.2nm
	SWIR X-trk Spec. Error	0.6nm@1700nm	0.58
۲)	Abs. Radiometry(1Sigma)	<6%	3.40%
RIC	VNIR SNR (550-700nm)	144-161	140-190
MET	SWIR SNR (~1225nm)	110	96
Σ	SWIR SNR (~2125nm)	40	38
د	No. of Spectral Channels	220	200 (L1)
CTRAL	VNIR Bandwidth (nm)	10.19-10.21	**
CE	SWIR Bandwidth (nm)	10.08-10.09	**

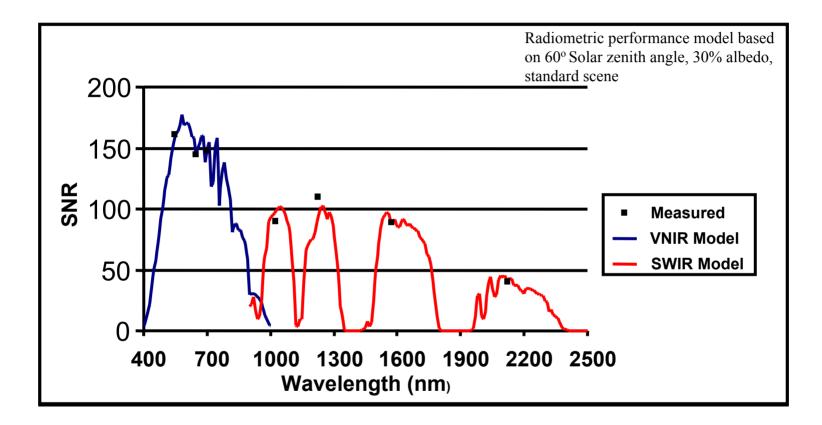
CAL

RADIO-

SPECTRA

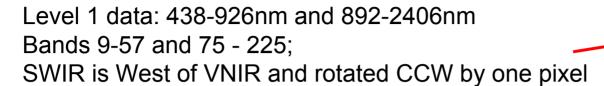
****** not measured

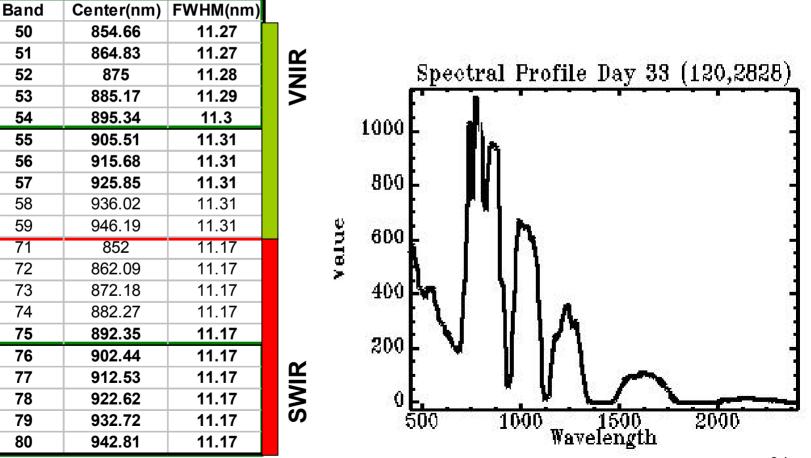
Hyperion SNR



		Hyperi	ion Measu	red SNR		
550 nm	650 nm	700 nm	1025 nm	1225 nm	1575 nm	2125 nm
161	144	147	90	110	89	40

Spectrometer Overlays



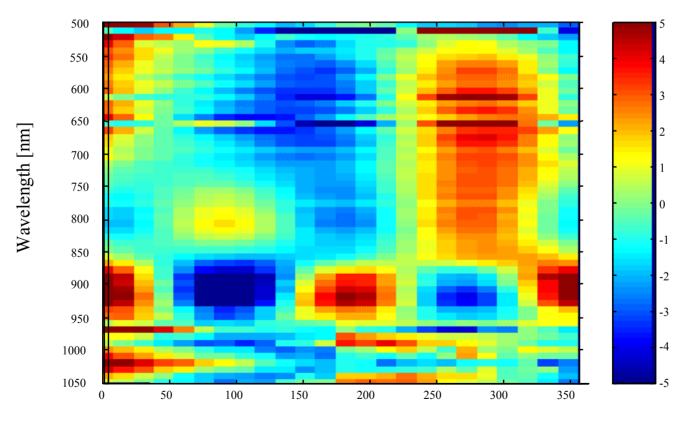


S

V

Polarization - VNIR

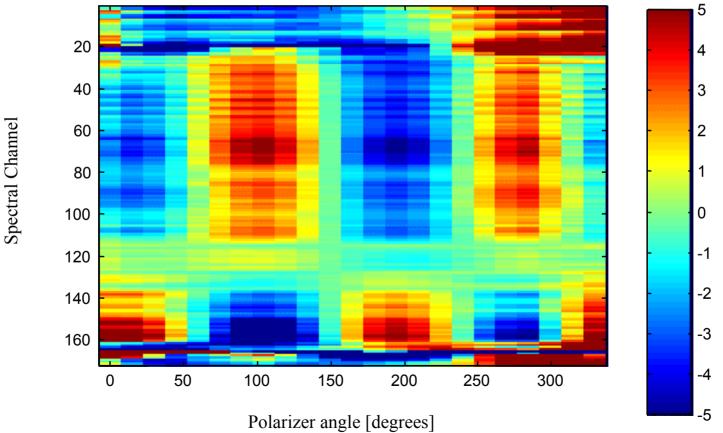
AVERAGED OVER FOV In Units of Percent Polarization



Polarizer Angle [degrees]

Polarization - SWIR

AVERAGED OVER FOV In Units of Percent Polarization

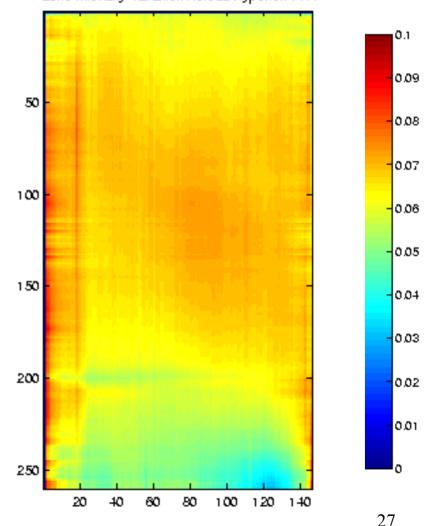


26

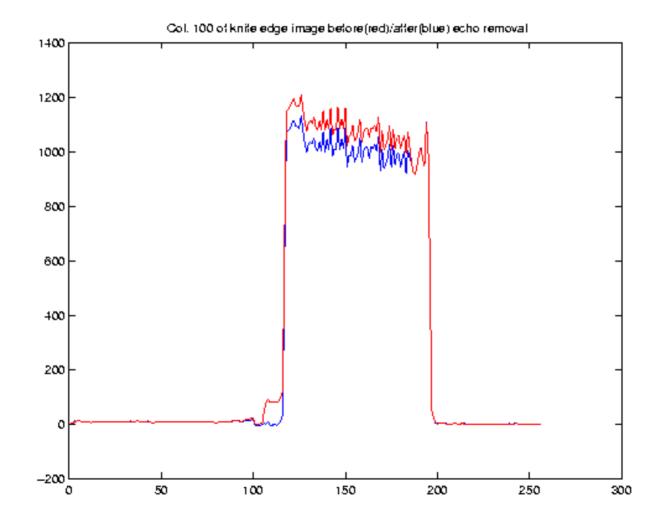
Echo Variation Map

- Ratio images for each intensity level were interpolated to create maps of echo variation across the entire FPA.
- Based on this map a technique for echo removal was implemented.
- The removal algorithm consists of subtracting a shifted and scaled version of each frame from itself. Scaling is a multiplication of the image by the echo variation map.

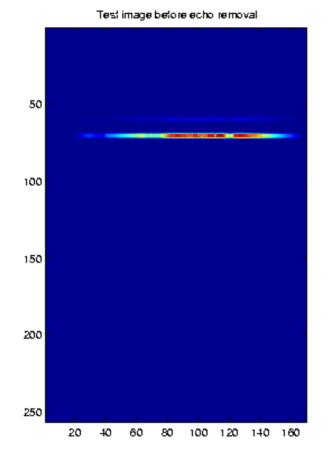
Echo Intensity Variation Across Hyperion FPA

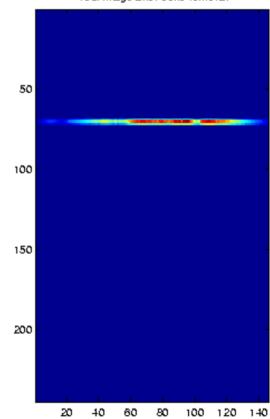


Profiles Before(red)/After(blue) Echo Removal



Hyperion Images Before / After Echo Removal





Test image after echo removal

Anomalous Pixel Collage – Possibilities including striping

<u>Band</u>	Swath Pix	Band	Swath Pix						
5	114	12	6	32	114	99	92	168	256
5	141	12	114	33	114	116	127	168	256
6	6	13	114	34	114	116	127	169	12
6	68	14	114	39	177	116	138	169	12
6	172	14	247	40	13	116	138	169	23
7	7	15	114	48	20	119	240	169	23
7	68	16	114	49	20	119	240	169	23
7	179	17	114	50	20	120	240	190	113
7	185	18	114	51	20	120	240	190	113
8	7	19	114	52	13	121	196	190	113
8	12	20	114	52	33	125	54	200	8
8	68	21	114	53	33	125	115	200	8
8	114	22	114	57	13	125	160	200	8
8	121	23	114	61	93	125	164	201	8
9	6	24	114	72	95	127	40	201	8
9	68	25	114	72	95	127	66	201	8
9	114	26	114	75	2	127	213	203	104
10	6	27	47	94	82	128	30	203	104
10	114	27	114	94	82	128	96	203	115
10	131	28	47	94	93	128	126	203	115
10	199	28	114	94	93	165	148	203	116
11	6	29	114	99	81	165	248	222	98
11	114	30	114	99	81	168	245	225	186
11	199	31	114	99	92	168	245		

Bad Pix 3 - L1B1; CSIRO – Jupp; Dark Image – Pearlman; PFC - Han

Hyperion Nominal Data Modes

Mode	Cover Position	Data collect	Comment
Standby	Closed	None	Default mode for active state
Dark	Closed	Minimum 100 frames	Performed as close as possible to
calibration			imaging, before and after
Lamp	Closed	Minimum 100 frames	Performed after second dark
calibration			calibration; two radiance levels
Solar	Open 37	Minimum 1 second	Performed over North Pole only to
calibration	degrees	Nominal 1 cube	keep cover out of ALI keep-out zone;
			yaw maneuvers required
Lunar	Fully open	Minimum: 1 second	Performed on dark side of earth; off-
calibration	(135°)	Nominal: 1 cube	track spacecraft pointing required
Ground	Fully open	Minimum 1 second	Ground target selected
calibration	(135°)	Nominal 1 cube	
Imaging	Fully open	Minimum 1 second	Nominal data collect is equivalent to
	(135°)	Nominal 9 cubes	Landsat scene, and takes 27 seconds.

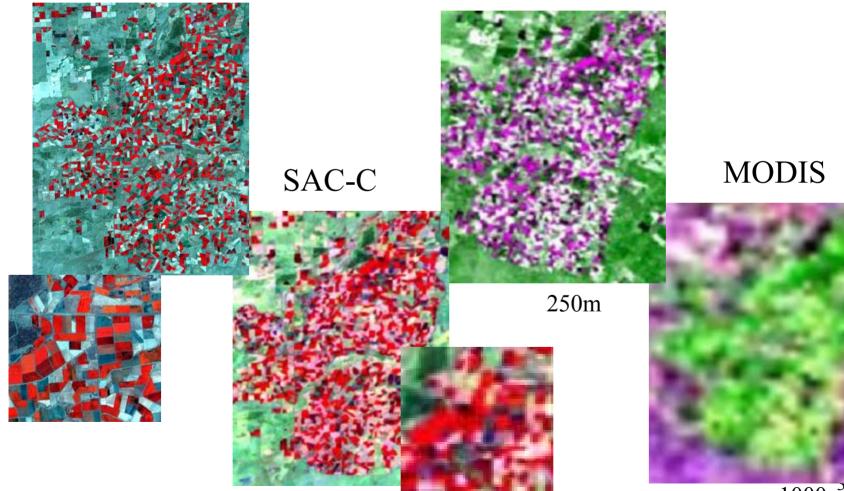
Performance Tradeoffs

- Spatial Resolution
- Spectral resolution

Coleambally Image Collection – 30m to 1km

MODIS

Landsat



1000m²

Comparison of Hyperspectral Instruments

Parameter	Lewis HSI	Hyperion
Volume (L x W x H, cm)	43x69x94	39x75x66
Weight (Kg)	39.5	49
Avg Power (W)	66	51
Peak Power (W)		126
Aperture (cm)	12	12
IFOV (mrad)	0.057	0.043
Crosstrack FOV (deg)	0.84	0.63
Wavelength Range (nm)	380 - 2450	400 - 2450
Spectral Resolution (nm)	5.1/6.45	10
No. Spectral Bands	384	220
Digitization	12	12
Frame Rate (Hz)	237	225
Typical SNR	100 - 200	65 - 130
Spectral Calibration (nm)	1	1
Radiometric Calibration	<6%	<6%

Hyperion Calibration Ground Test and On-Orbit Validation

- System performance assessment strategy
- Present pre-flight and on-orbit measurement techniques
 - Absolute Radiometric Calibration
 - Spectral Calibration
 - Image Quality Characterization

Strategy

- Pre-Flight:
 - Establish fundamental characteristics of the instrument and assess requirement compliance
 - Establish instrument performance through the build, environmental test and spacecraft integration phases
 - Provide solid foundation for on-orbit comparison
- On-orbit:
 - Determine on-orbit performance and compare with pre-flight performance
 - Define data collects that can be used to assess identified performance parameters
 - Acquire and analyze data collections; and assess accuracy of technique
 - Compare on-orbit results with pre-flight

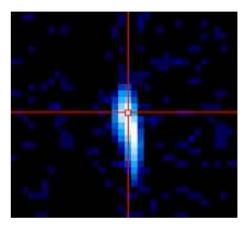
Special Targets for Characterization



Searchlights -California

> Gas Flares -Moomba

Planets -Venus

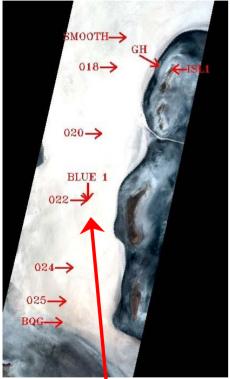


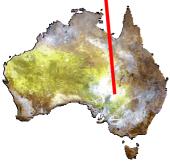




Desert Sites used for Vicarious Calibration

Lake Frome





RR Valley





Arizaro/Barreal Blanco



the good the bad

and

the gotchas

The world of real life operations

Images of Lake Frome

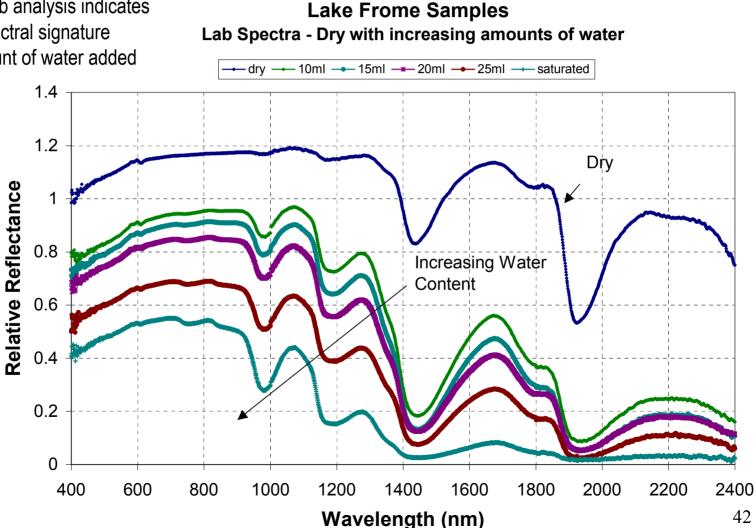


The Salt Surface



Impact of Moisture on Salt Signature

Approximate lab analysis indicates changes of spectral signature based on amount of water added



The Locusts That Did Not Make It Over



Arizaro Dry Lake bed



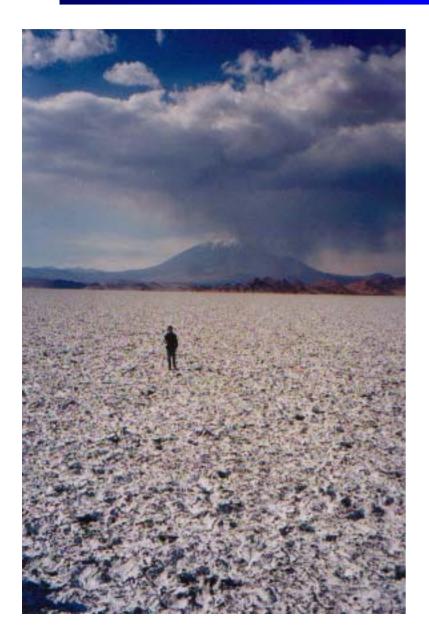
Ground Calibration



Coleambally Collection History

	Julian	Path/	X-track	In-track	
Date(GMT)	Day(GMT)	Row	Position	Position	Comments
23-Dec-00	358	93/84	100	3148	Cum(South);VNIR
1-Jan-01	001	92/84	82	2131	Clear
9-Jan-01	009	93/84	-	-	UARDRY
17-Jan-01	017	92/84	-	-	Cloudy
25-Jan-01	025	93/84	95**	2481**	High Clouds(North)
2-Feb-01	034	92/84	36	2599	Clear
9-Feb-01	041	93/84	-	-	Cloudy
18-Feb-01	049	92/84	41	3782	Shadows(East)
25-Feb-01	056	93/84	-	-	
6-Mar-01	065	92/84	95	3977	Clear
13-Mar-01	072	93/84	104	2926	Clear
22-Mar-01	082	92/84	-	-	Cloudy

ARIZARO, Argentina



High altitude (~12,000ft) dry salt lakebed.

Surface: extremely rough, locally uniform, and bright.

Some years no rain at all!

It rained the day we arrived

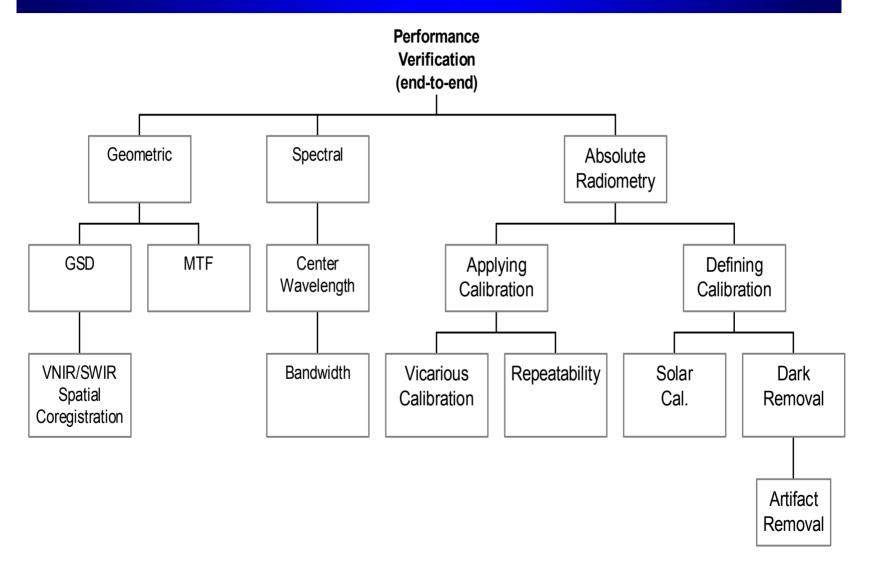
The good news is that conditions were good for the experiment on the 7th of February 2001.

Calibration & Validation Can Be Fun



Let's Address Calibration

System Performance Verification Strategy



Absolute Radiometric Calibration

Key Factors Impacting Calibration

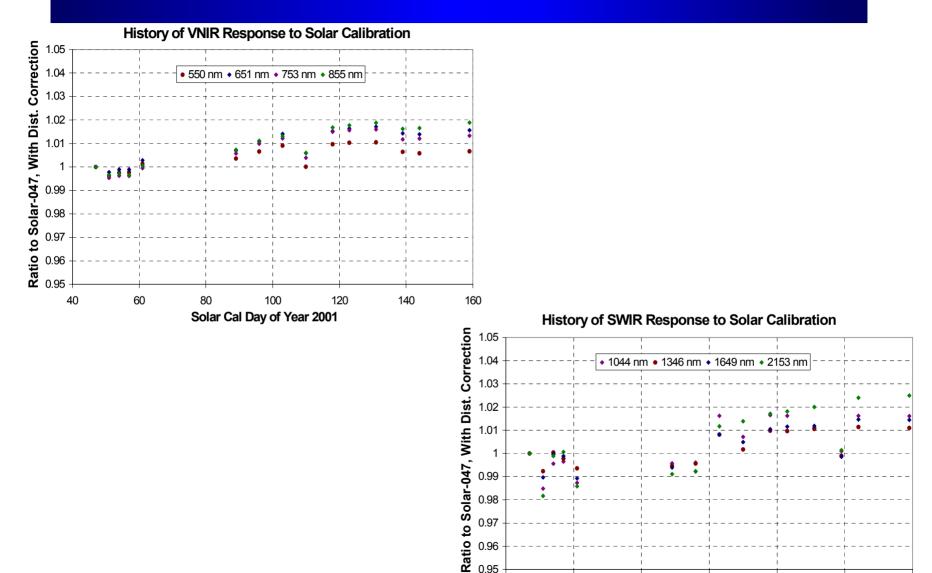
	Absolute Knowledge	Intermediate Properties	Spacecraft Pointing	Strengths
Solar Calibration	Models avail to community VNIR more accurate then SWIR	Diffuse reflectance of Hyperion cover	Critical to modeling intermediate properties	Uniform across field-of-view Constant
Lake Frome (vicarious)	Based on ground truth measurements	Atmospheric effects must be modeled	Depends on surface	User oriented effort
LunarBased on LunarCalibrationmodels		none	Spacecraft scans moon. Relative moon, sun, sat angle	No intermediate properties. Constant

On-Orbit Radiometric Calibration

• Solar Calibration

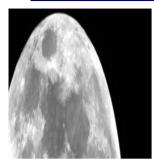
- Absolute Comparison: VNIR within 2%, SWIR 5-8% low; SWIR has larger uncertainty due to solar model and BRDF model of cover surface
- Used to correct for pixel-to-pixel corrections
- Included in repeatability assessment 0.6% for VNIR, 1.6% for SWIR
- Used to define noise level as a function of signal level to determined SNR
- Lunar Calibration
 - Used to eliminate artifacts of diffuser
- Vicarious Calibration and Cross Calibration
 - Calibration accuracy in 4-10% range

Solar Calibration Trending

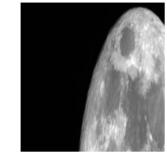


Solar Cal Day of Year 2001

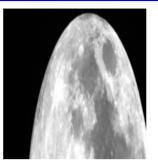
Lunar Calibration Trending



Day 038



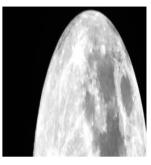
Day 069



Day 097



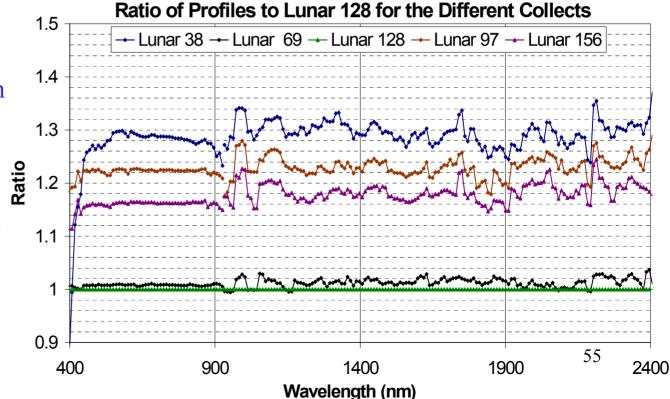
Day 128



Day 156

Intensity Dependent on Moon-Sun-Spacecraft Relative Angles

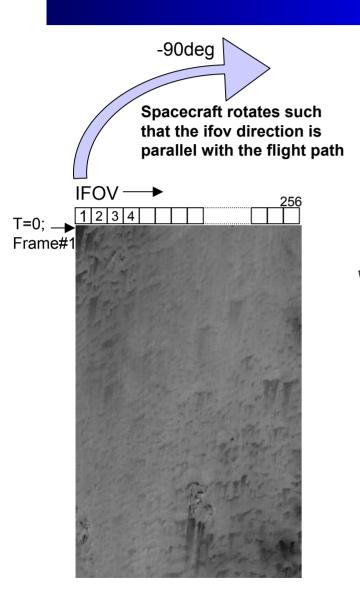
VNIR and SWIR track intensity changes

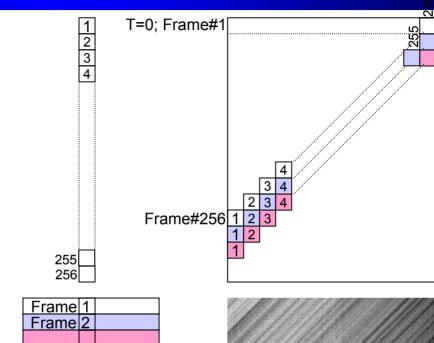


Description of the 90 Degree Yaw Collect

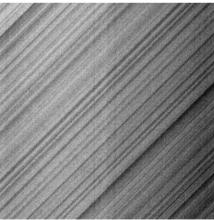
iround

rac

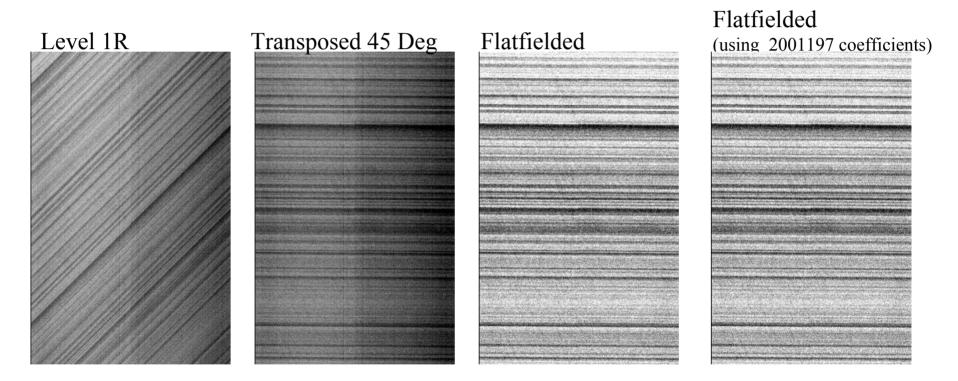




The target spot is viewed by pixel 256 during frame 1, and then by pixel 255 during frame 2, and so on...



Analysis for Yaw Data

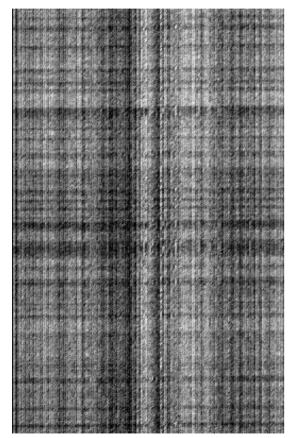


Differences between the sets of correction coefficients for the entire vnir focal plane are within $\pm 2.5\%$

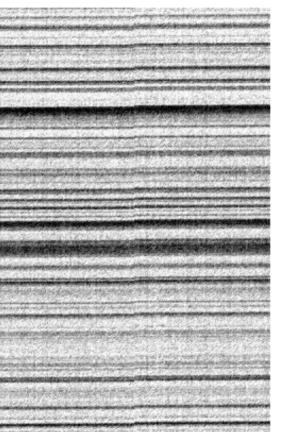
Hyperion Band 20 (VNIR)

Analysis for Yaw Data

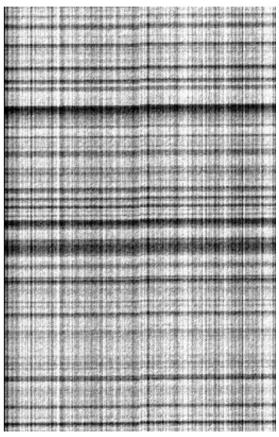
Transposed 45 Deg



Flatfielded



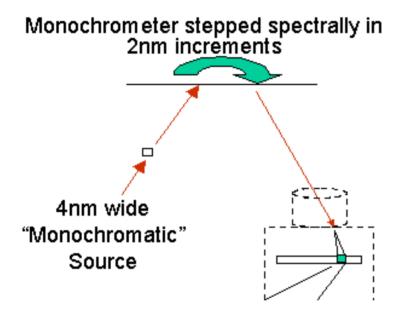
Flatfielded (using 2001197 coefficients)

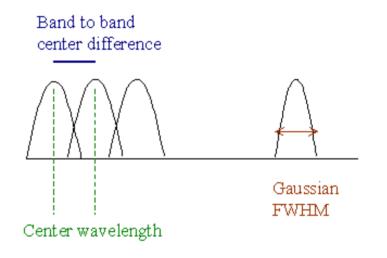


Hyperion Band 91 (SWIR)

Spectral Calibration

Pre-Flight Spectral Calibration





Monochrometer profiles used to define center wavelength and bandwidth at discrete locations Spectral response modeled as a gaussian with a center wavelength and full width half max

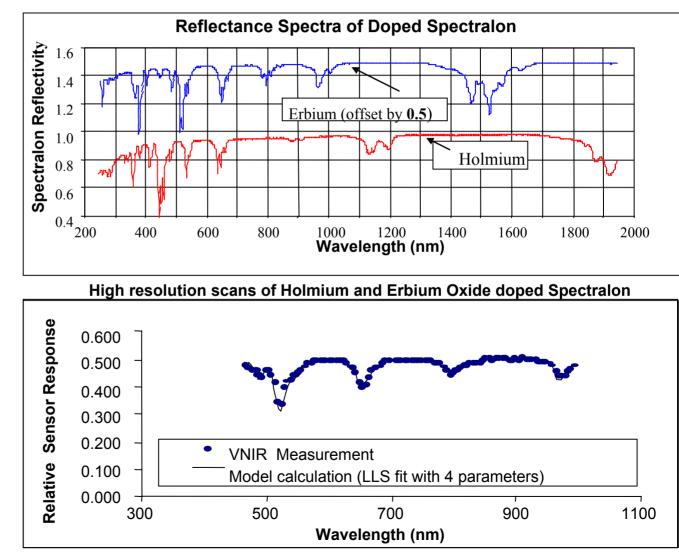
Pre-Flight Spectral Calibration

- Center wavelength and bandwidth
 - Measured at discrete locations 20 VNIR locations, 25 SWIR locations.
 - Used to define the center wavelength and bandwidth for every VNIR and SWIR pixel, 256 field-of-view locations and 242 spectral bands.
- Dispersion (nm/pixel)
 - Spacing of spectral channels, Hyperion dispersion (~10nm/pixel) closely matches the bandwidth (10 nm)
- Cross-track spectral difference
 - Maximum wavelength difference across field-of-view for a single spectral channel,
 - VNIR = 2.6-3.6 nm
 - SWIR = 0.40- 0.97 nm.

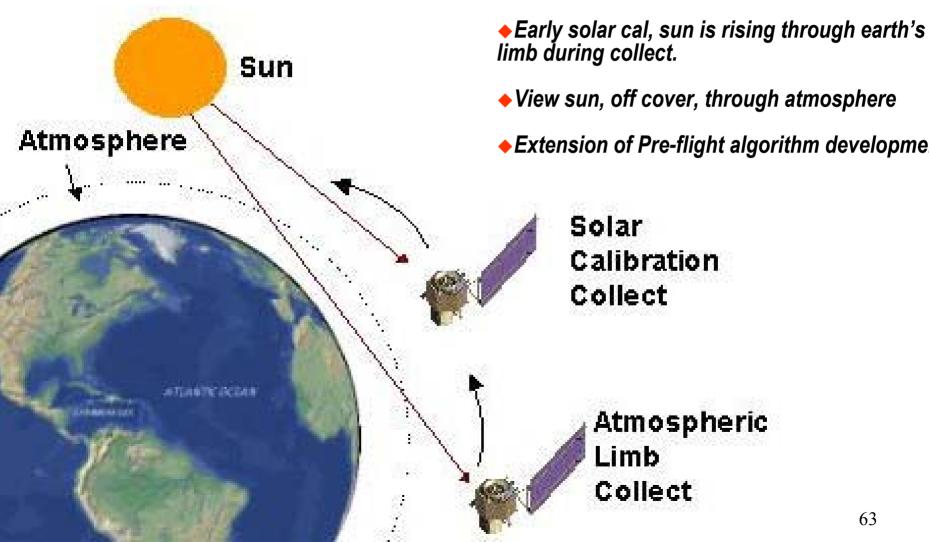
Pre-Flight Calibration Algorithm Development

Process:

- 1. Image contains reference spectra uniform across the field of view. (pre-flight: doped spectralon)
- 2. High resolution reference spectra convolved with sensor spectral response function
- 3. Resulting reference spectra aligned with Hyperion measured spectra to determine spectral calibration.



On-Orbit Spectral Calibration

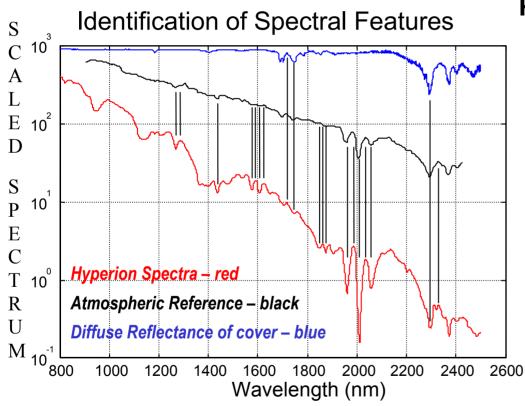


View sun, off cover, through atmosphere

• Extension of Pre-flight algorithm development

Spectral Calibration

SWIR



Process:

1.) Create Pseudo-Hyperion Spectra from reference: Modtran-3 for atmosphere, and Cary 5 & FTS measurements for diffuse reflectance of the cover

2.) Correlate Spectral Features: band number units of Hyperion max/min correlated with reference wavelength of max/min

3.) Calculate Band to Wavelength map: apply low order polynomial to fit the data over the entire SWIR regime

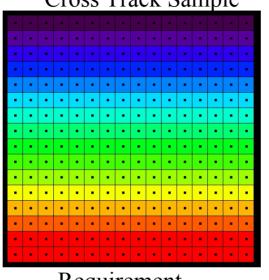
VNIR

 Spectral calibration based on two lines: one solar line (520 nm) and an oxygen line (762.5nm)

Spectral-Spatial-Uniformity

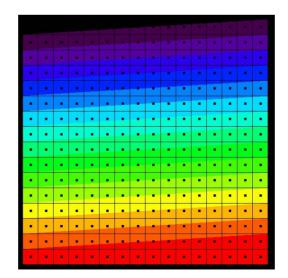
Depiction

- Grids are the detectors
- Spots are the IFOV centers
- Colors are the wavelengths

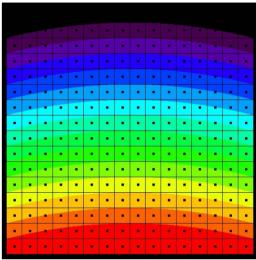


Wavelength

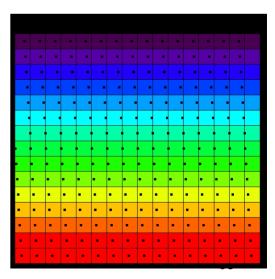
Requirement



Failure by Twist



Failure by Frown(aka smile)

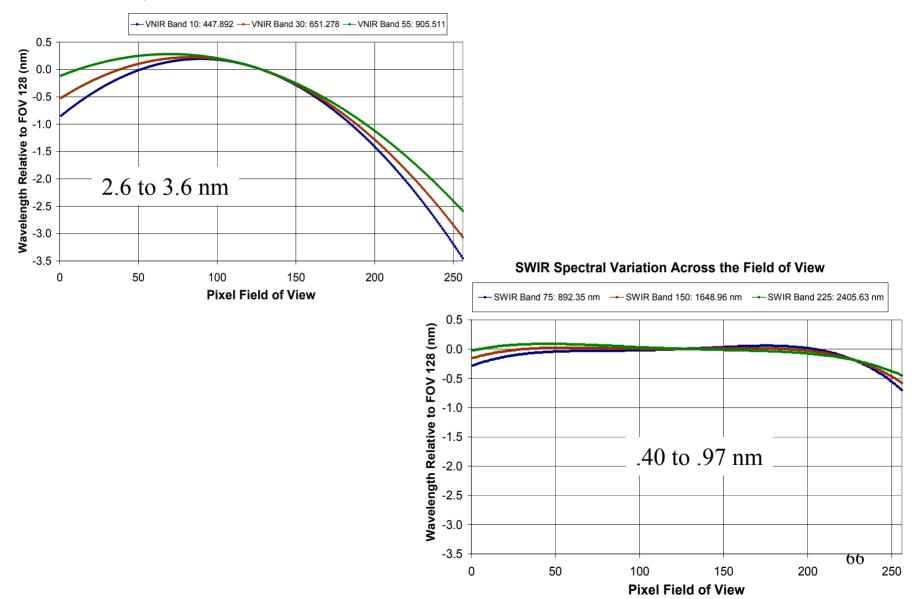


Failure by Spectral-IFOV-Shift

Cross Track Sample

Characteristics of Spectral Calibration

VNIR Spectral Variation Across the Field of View

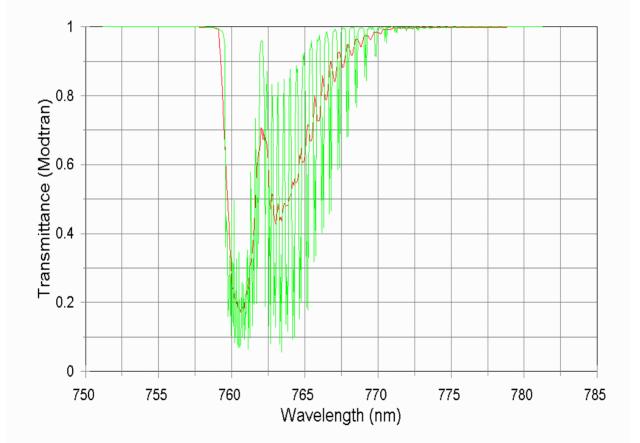


Understanding Frown

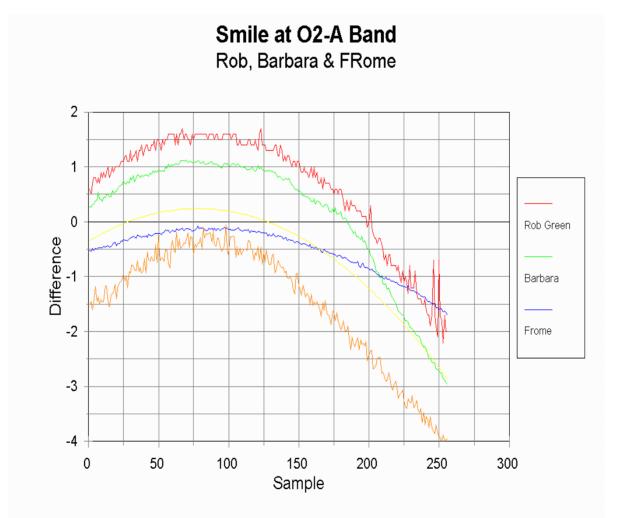
- Why is this important if it is only a few nm?
 - Some spectral effects such as movement of the chlorophyll edge due to stress is of the same order
 - Reproducibility of results due to variations in pointing

The Oxygen A Bands at 1 cm-1 & 1 nm Steps

Hyperion Smile Issue Modtran O2-A "Band"

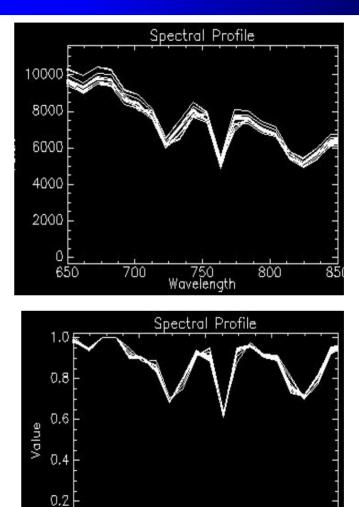


Different Answers – Continuum?



Oxygen Line from Lake Frome Image





0.0 <u>E</u>

Wavelength

Image Quality

Image Quality

- Modulation Transfer Function
 - Pre-flight used knife edge and slit to measure Cross track direction, Along-track was Cross-Track*2/pi
 - On-orbit used Ice Shelf & Lunar Limb (knife edge) and bridge (slit) to measure Cross-Track and Along-Track directly.
- Co-registration of VNIR and SWIR
 - Pre-flight used test bed to project a slit with a broad spectrum at multiple locations
 - On-orbit used combination of edges (Lunar, Ross), point sources (clouds, flares), ground control points
- Ground Sample Distance
 - Pre-flight measured IFOV using test bed
 - On-orbit triangulated marked features in well mapped scene



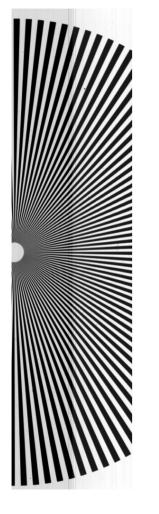


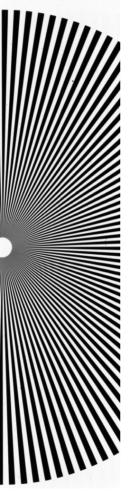


• Calculate cross-track and in-track MTF using a step response and impulse response example

• Results of on-orbit analysis give good agreement with the pre-launch laboratory measurements

VNIR Imaging of "Starburst Pattern"





Pattern used to validate optics performance and level 1 processing

Level 0 data

Level 1 data

MTF Example: Cross-track Bridge

Dec 24, 2000. Bridge is the Mid-bay bridge near Destin, Florida.Bridge width (13.02 m) acquired and utilized in the MTF processing.Bridge angle small, every 5th line used to develop high resolution bridge image.MTF result at Nyquist is between 0.39 to 0.42; pre-flight measurement was 0.42.

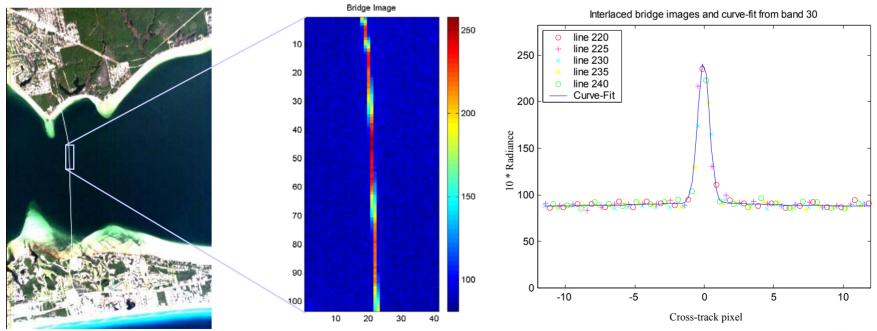
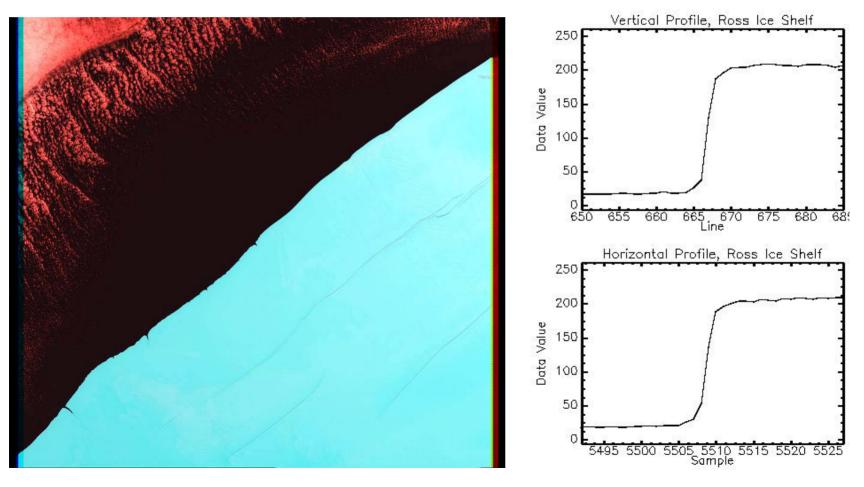


Image Quality Example

Vertical and Horizontal MTF can be calculated from diagonal edge



MTF Calculation Process Summary

- MTF measurement on-orbit requires collection of scenes
 - step response: Ross Ice Shelf, Lunar Collect
 - impulse response: Bridge (Eglin, Cape Canaveral)
- Data Processing steps (simplified):

1.) Define Edge Spread Function (ESF): Interlace adjacent lines from an object that is at a slight angle to the spacecraft motion

- allows over sampling (sub-pixel) sampling

2.) Calculate Line Spread Function (LSF):

- edge technique: calculate an error function curve-fit to the ESF to derive the LSF, OR take the band-limited derivative of the ESF with a Tukey window.

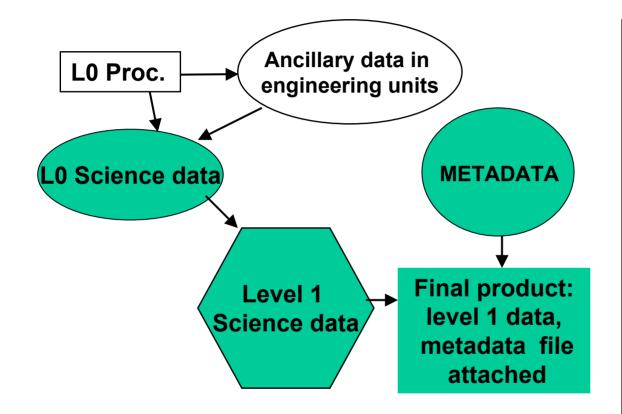
- slit technique: *LSF is obtained from interlacing adjacent lines and deconvolving the profile with the slit width (in the frequency domain)*

3.) MTF is the Fourier Transform of the LSF

What's Next

- We have looked at instrument characteristics and calibration
- Now what do we do to "correct" the data?
 - Radiometric
 - Geometric
 - Atmospheric
 - Uniformity (striping)
 - Other nuances
- How well can all this work?

Hyperion Data Flow



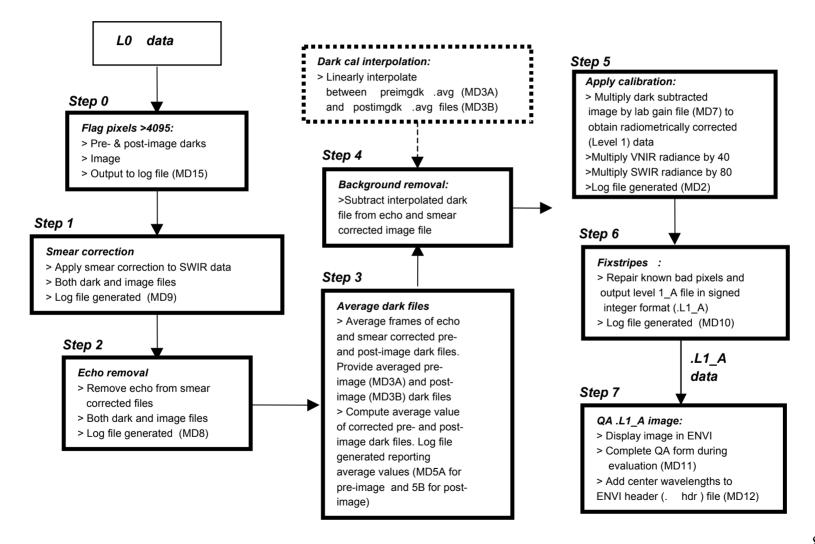
Science Data: Level 0 or Level 1 (radiometrically corrected) data products with VNIR and SWIR data frames combined. Includes solar, lunar calibrations, earth images, dark and light calibrations

Metadata: Data about the science data. Information to support higher level processing, e.g., pre-flight characterization data

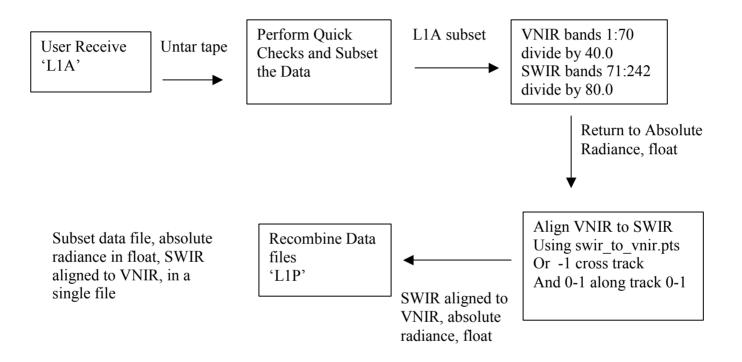
Ancillary Data:

Supporting data derived from spacecraft telemetry during image collection

Level 1 Data Processing Flow



Hyperion Processing: One Step Further



Data Processing Levels



Level 1A: delivered data

CSIRO



Level 1P: Radiometric and VNIR/SWIR overlay

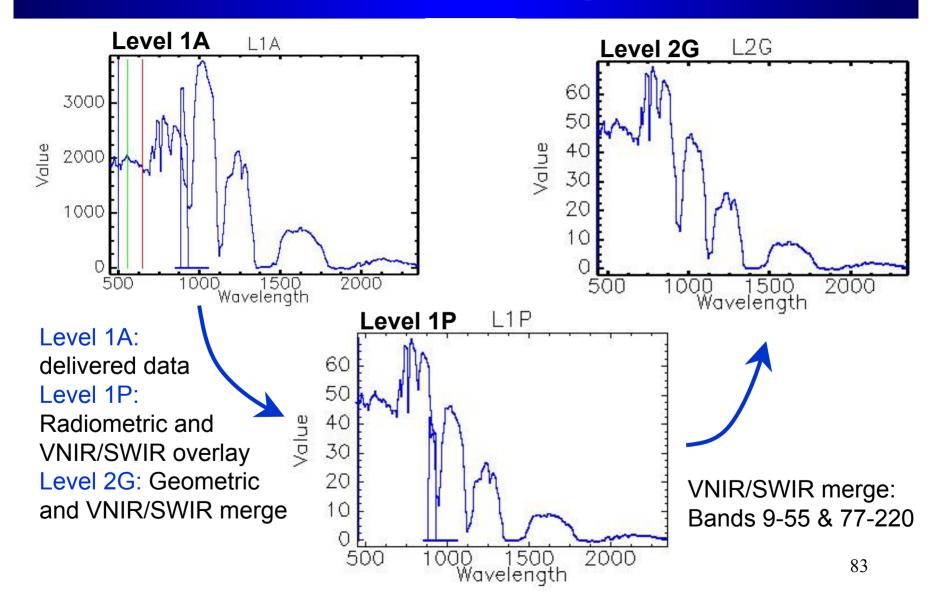
Level 2G: Geometric and VNIR/SWIR merge



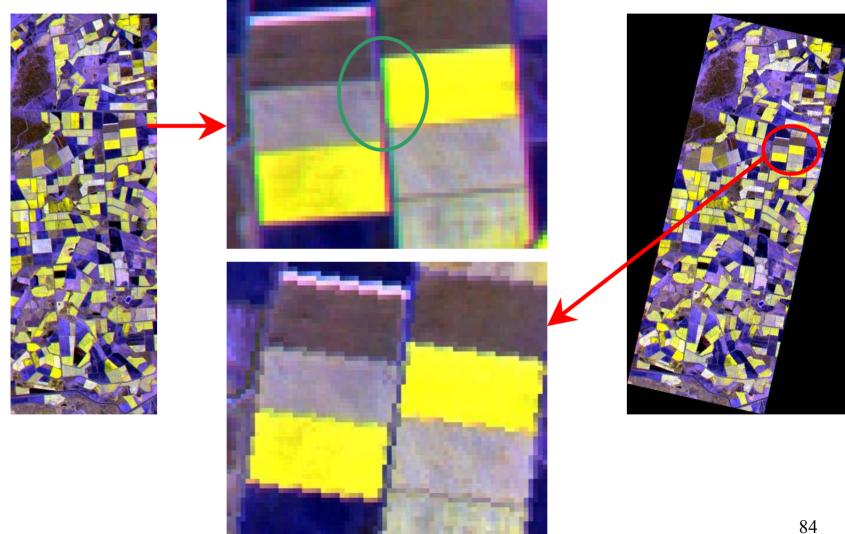




Data Evolution - Processing L1A -> L2G



Geo-Correction: L1A → L2G



Methods / Geo-correction

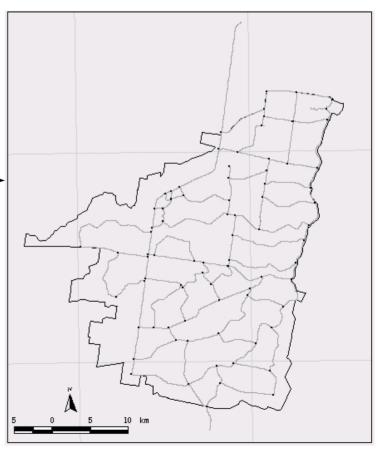
A time series of polynomials allowing for geo-correction were developed by simultaneously fitting Ground Control Points (GCPs) collected in multiple images (date and sensor) using the MOSMOD module of microBRIAN. These polynomials allow the images to be resampled to geographic coordinates.

Fifty GCPs were collected between the base Aerial photography, an ETM image acquired 02-January-2001 (ETMjan), Hyperion VNIR, acquired 02-January-2001, 03-February-2001, 07-March-2001 (HypVNIRjan, HypVNIRfeb, HypVNIRmar), and Hyperion SWIR for those same dates (HypSWIRjan, HypSWIRfeb, HypSWIRmar).

MOSMOD both optimizes the images to geographic coordinates and the images internal registration.

GIS Data

Over 466km of linear road network and 129 well-defined points digitised with a Differential Global Positioning System (DGPS). These datasets can be used for geo-referencing Hyperion time series High resolution (2m) digital aerial photographs acquired January 2001 used for creating positionally accurate field and rice bay GIS datasets.



Coleambally Geo - Correction

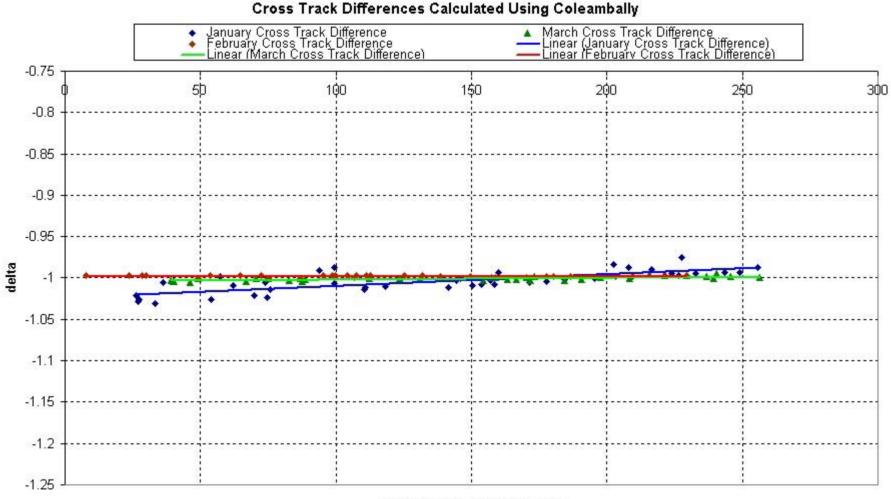
• Six Hyperion 'images' (VNIR and SWIR for 02 Jan; 03 Feb; and 07 Mar 2001); GCPs collected in each Hyperion 'image' and 2m air photos

• Prior to fitting, outlier GCPs were identified and redefined; a linear polynomial was selected and a transitive chain method (MOSMOD) was used

Pixel Size Avg X = 30.77m; Avg RMS error = 2.52 m Avg Y = 30.49m; Avg RMS error = 6.87 m Registration Cross-track 12.9m (SD 0.6m) Along-track 11.6m (SD 2.1m)

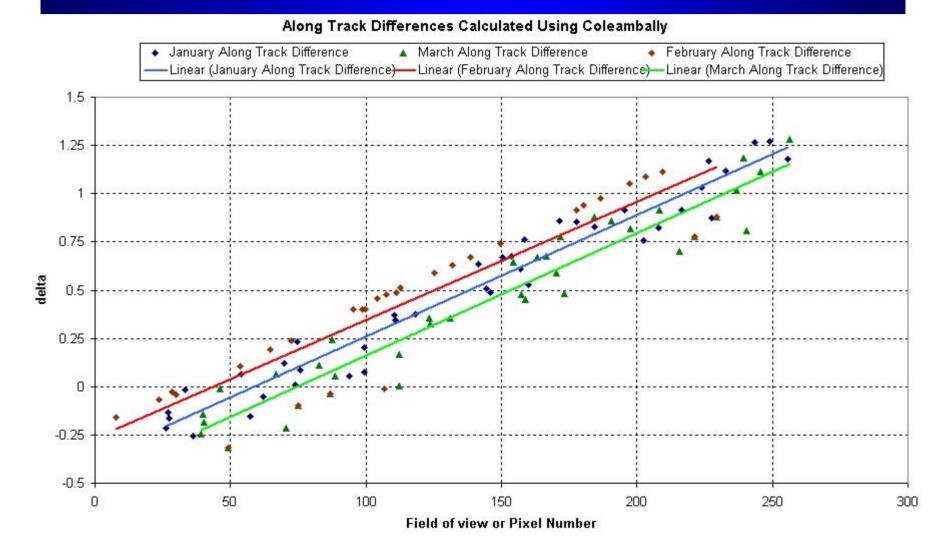
Presented at IGARSS 01

Preliminary Results / Geo-correction



Field of view or Pixel Number

Preliminary Results / Geo-correction



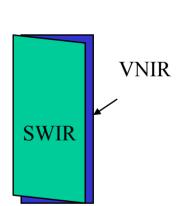
89

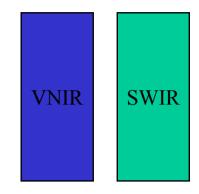
Aligning the VNIR and SWIR

• VNIR and SWIR are independent spectrometers and focal plane arrays

- For the user to align the SWIR to the VNIR apply
 - constant cross track shift of -1 pixel

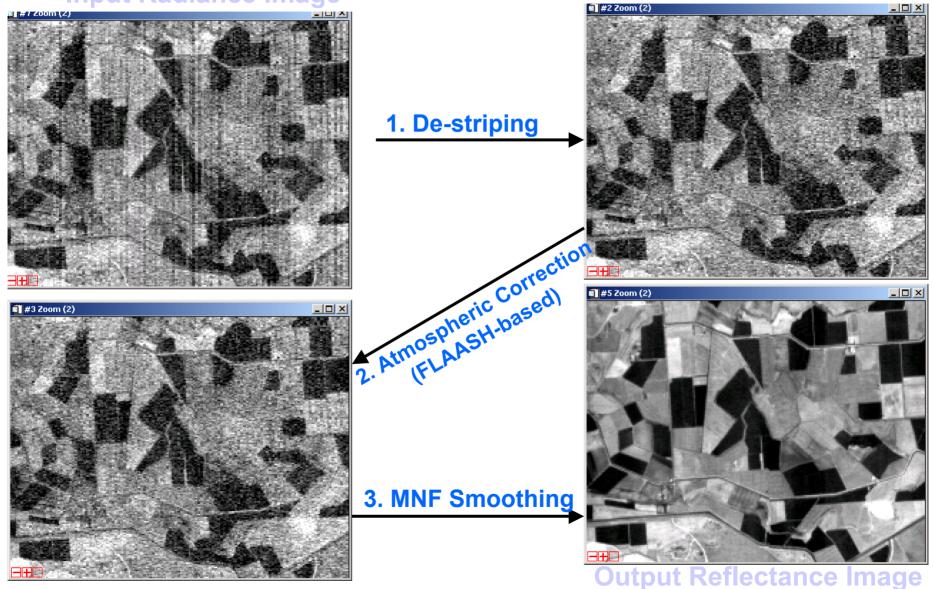
- linear along track shift of 0 pixels at field-of-view 0 and 1 pixel at field of view 256.





Reflectance Processing Hyperion Band 223 (2385 nm)

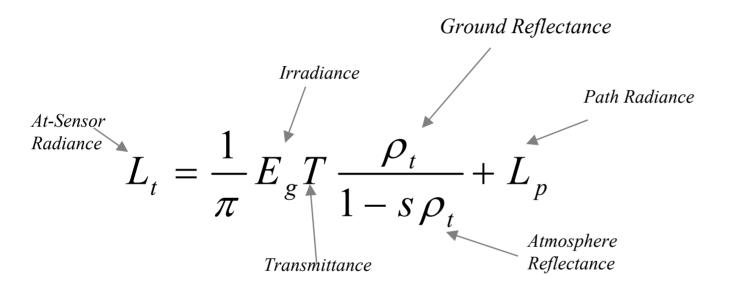
Input Radiance Image



Should Atmospheric Correction be Performed?

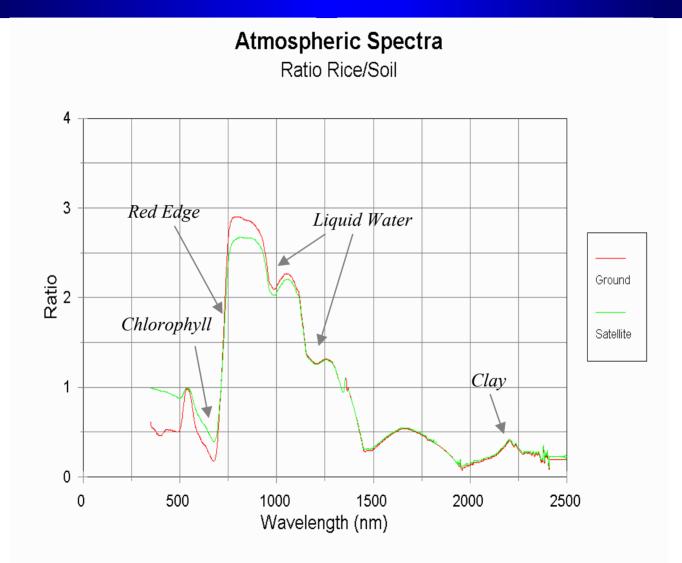
- Hyperspectral remote sensing has effects of atmosphere as well as earth materials
- The result is easily recognized and its worst effects can be avoided
- Should you atmospherically correct?
 - Some applications do not need it (classification, MNF, CVA, exploration)
 - Some can benefit from it (standardization)
 - Some need it (modeling)
- The data are there to use do not be put off nor wait until all the "problems" have been solved!

A Simple Atmospheric Model



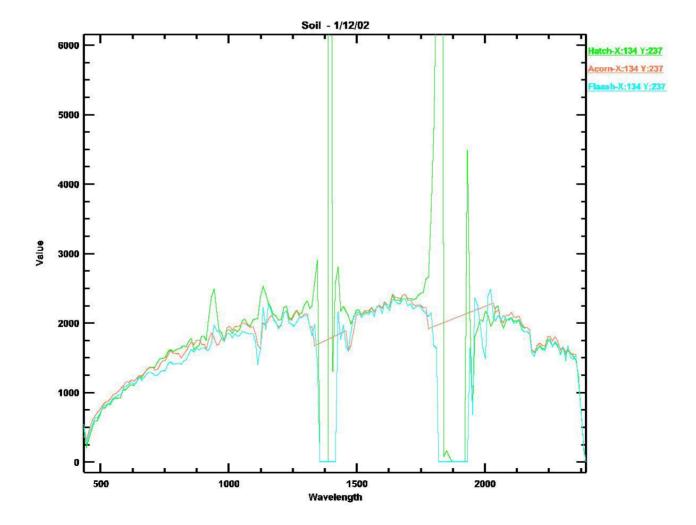
Modelling : $\rho_t \to L_t$ Atmospheric Correction : $L_t \to \rho_t$

Not so frightening after all?! (DLBJ)



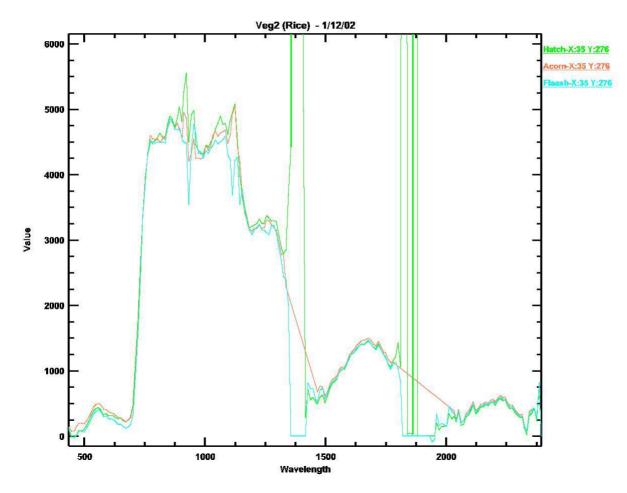
Atmospheric Correction Codes

Hatch, FLAASH, ACORN



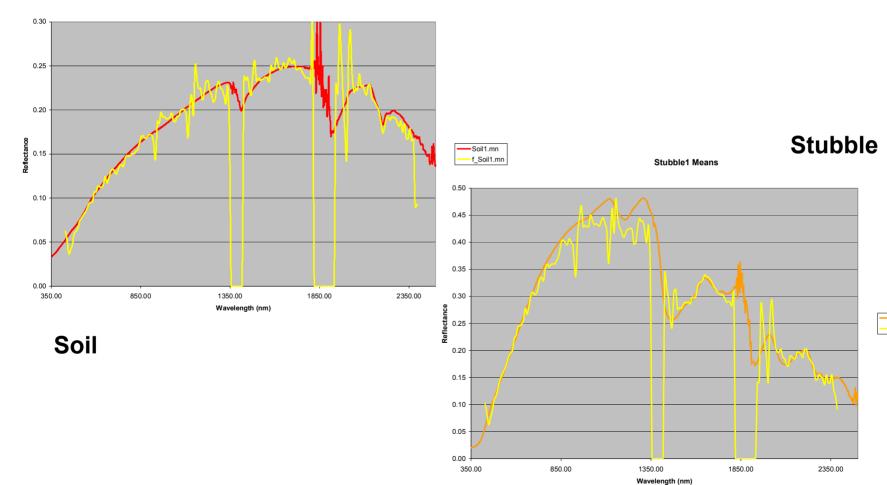
Atmospheric Correction Codes

Hatch, FLAASH, ACORN



FLAASH – ASD Comparison

Soil1 Means



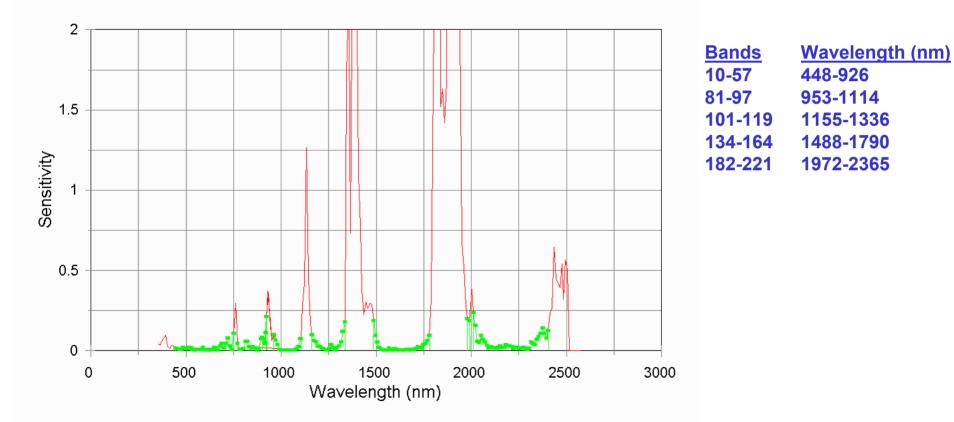
97

-Stubb1.mn

f Stubb1.mn

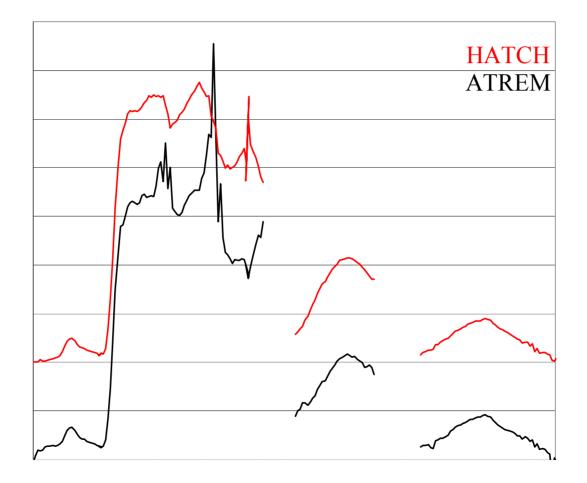
Atmospheric Avoidance

Hyperion Bands Sensitivity to AtCor



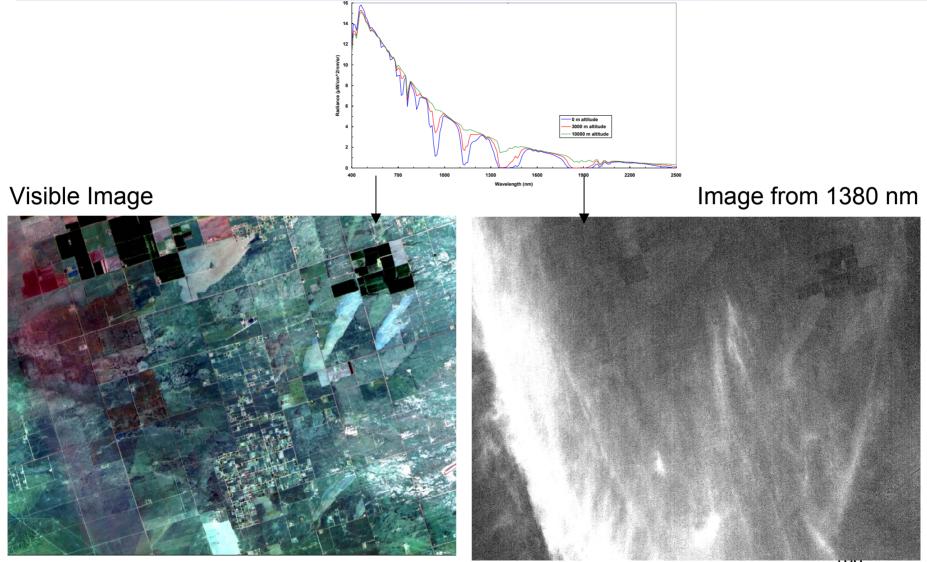
"Stable" set of bands can be considered if application permits

Yerington, NV: pixel 62, 35

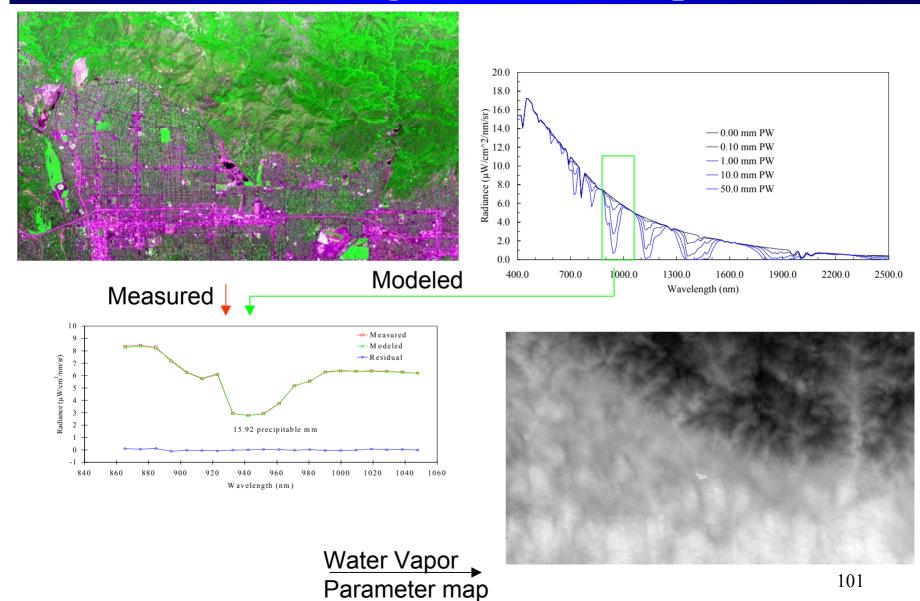


99

Cirrus Cloud Detection Over Mojave Desert



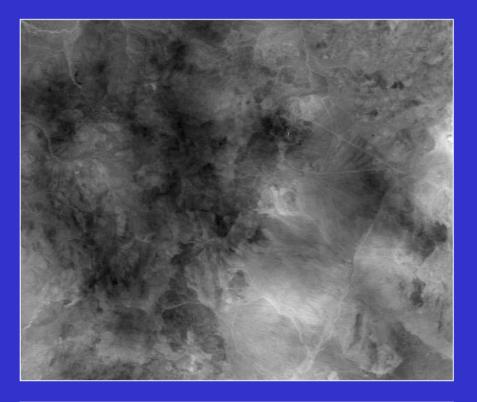
Accounting For Water Vapor

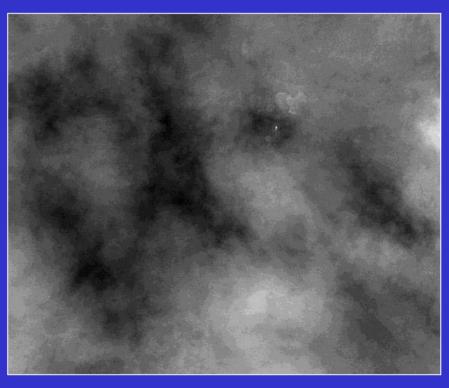


Yerington, NV: Water Vapor Images

ATREM

HATCH





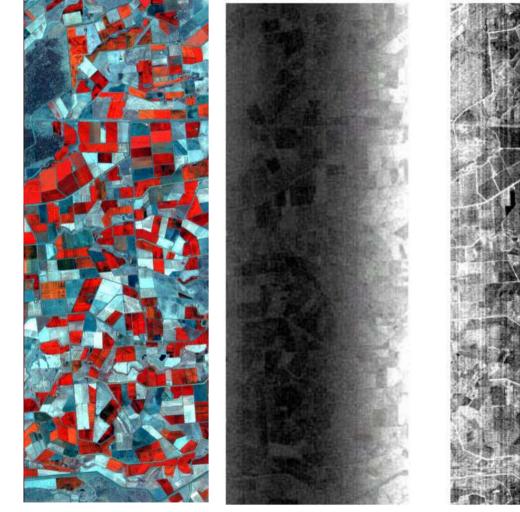




0.60 cm



Stripes in the Image



- - Stripes may not impact analyses
 - Three spatial frequency scales occur:
 High
 Medium
 - •Low
 - Is there a temporal effect?

RGB (42, 21,15)

MNF 1 Radiance

MNF 15 Radiance

Approaches for De-Striping

- 1. Set a <u>column mean (intensity)</u> equal to the <u>global</u> mean
- 2. Set the <u>column mean and standard deviation</u> equal to the global mean and standard deviation
- 3. Use a <u>locally-based mean and standard deviation</u> in spatial dimensions
- 4. Use a <u>locally-based mean and standard deviation</u> in spatial *and* spectral dimensions

Effects of 'Global' and 'Local' De-Striping

•Typical spatial scales are pixel, surface features and swath

•'Global' removes streaks and low-frequency effects (but 'Global' can bias the results) – it depends on the surface cover characteristics along the column

•'Local' removes spikes – especially in the VNIR but leaves in the low frequency effects such as the smile radiance effect.

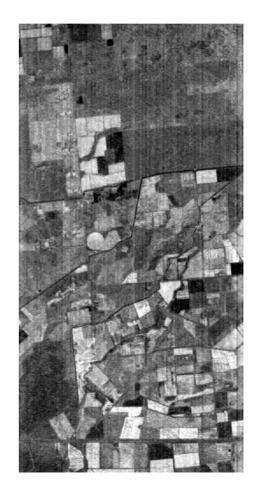
•VNIR and SWIR noise are different. Should be treated separately.



VNIR



SWIR



De-streaking Approach

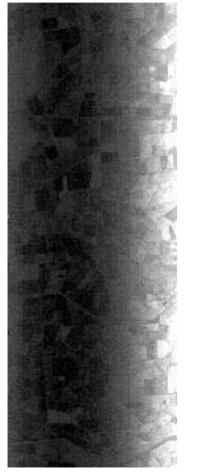
 (m_{ij}, s_{ij}) are column averages for sample *i* band *j* $(\overline{m}_{ij}, \overline{s}_{ij})$ are reference values for them

$$\begin{aligned} x_{ijk} &\to \alpha_{ij} x_{ijk} + \beta_{ij} & sample \ i \ band \ j \ line \ k \\ \alpha_{ij} &= \frac{\overline{S}_{ij}}{S_{ij}} & \beta_{ij} = \overline{m}_{ij} - \alpha_{ij} \ m_{ij} \end{aligned}$$

Selection of reference values determines whether the de-striping is local or global

Global De-striping MNF1 and 15

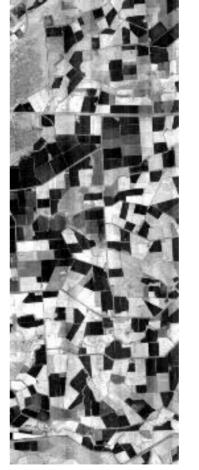
Original Data



MNF 1 Radiance

MNF 15 Radiance

De-Streaked Data



MNF 1 Radiance



MNF 15 Radiance

Effects of 'Global' and 'Local' De-Striping

Change Analysis

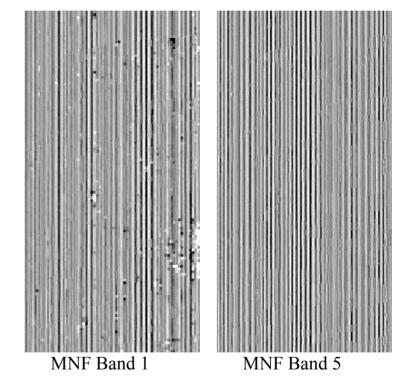
•Global De-streaked minus Original Radiance



•MNF Band 1

MNF Band 5

Local De-streaked minus Original Radiance

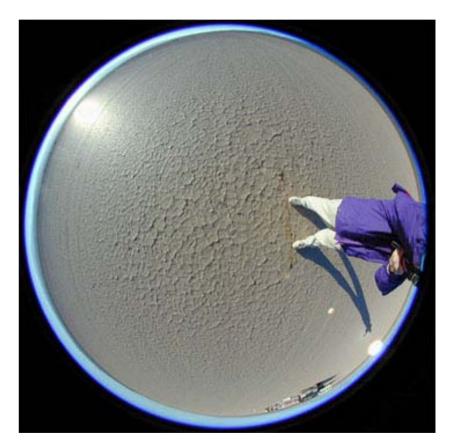


•Global De-streaking removes 'smile' effect and streaks •But also alters mid frequency spatial effects in the data Local de-streaking removes stripes but leaves the 'smile' effect in

Other Thoughts

Other Effects - Directional Illumination





Midday

Early Morning

Through the Glass Darkly – or "no free lunch"

- Hyperspectral data is fighting for photons
- Small pixels & many narrow bands lead to lower SNR
- The technology is good but there is a limit
- Landsat FWHM ranges from 70 in VNIR to 300-400 in the SWIR
- Hyperion Bands have an FWHM and step of 10 nm

Sensor Characteristics

SAC-C

ETM+

ALI

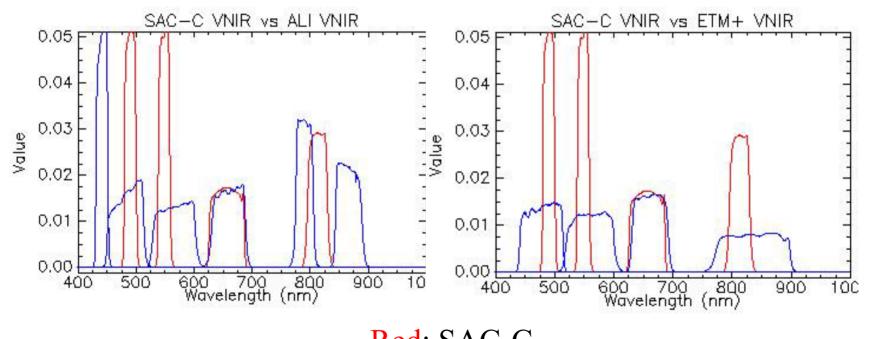
MODIS

	CWL	FWHM		CWL	FWHM			FWHM	MODIS	CWL	FWHM
SAC-C	(nm)	(nm)	ETM	(nm)	(nm)	ALI	CWL (nm)	(nm)	Land	(nm)	(nm)
						Band 1p	441.6	18.9			
Band 1	490.5	19.3	Band 1	478.7	67.2	Band 1p	484.8	52.6	Band 3	465.7	17.6
Band 2	548.8	19.2	Band 2	561.0	77.6	Band 2	567.2	69.7	Band 4	553.7	19.7
Band 3	656.6	58.0	Band 3	661.4	60.0	Band 3	660.0	55.8	Band 1	646.3	41.9
Band 4	812.9	34.2	Band 4	834.6	120.8	Band 4	790.0	31.0	Band 2	856.5	39.2
						Band 4p	865.6	44.0			
						Band 5p	1244.4	88.1	Band 5	1242.3	24.7
Band 5	1598.5	106.6	Band 5	1650.2	190.5	Band 5	1640.1	171.2	Band 6	1629.4	29.7
			Band 7	2208.1	251.3	Band 7	2225.7	272.5	Band 7	2114.2	52.2
			Pan	719.9	319.5	Pan	591.6	144.3			

SAC-C VNIR Bands vs ALI, ETM+

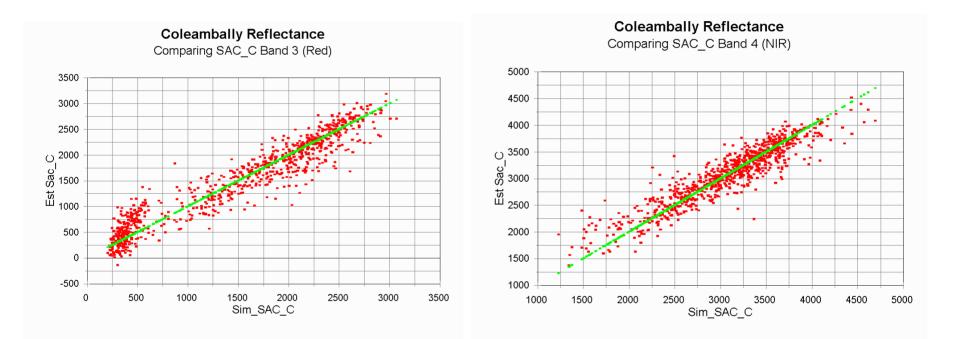






Red: SAC-C Blue: Other Instrument

Binned Hyperion vs SAC-C



Hyperspectral Imaging Applications & Benefits

Application	Existing Satellite Capabilities (SPOT, LandSat)	Hyperion Capability	Perceived Benefits
Mining/Geology	Land cover classification	Detailed mineral mapping	Accurate remote mineral exploration
Forestry	Land cover classification	Species ID Detail stand mapping Foliar chemistry Tree stress	Forest health/infestations Forest productivity/yield analysis Forest inventory/harvest planning
Agriculture	Land cover classification Limited crop mapping Soil mapping	Crop differentiation Crop stress	Yield prediction/commodities crop health/vigor
Environmental Management	Resource meeting Land use monitoring	Chemical/mineral mapping & analysis	Contaminant Mapping Vegetation Stress

Hyperspectral Image Provides Forestry Detail

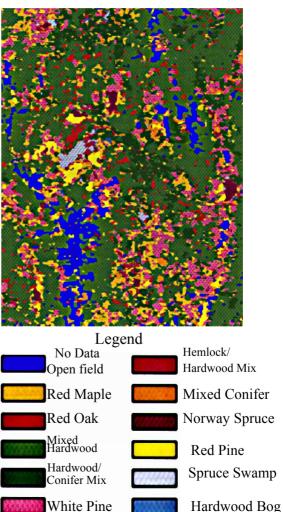
LandSat Analysis



Legend



Hyperspectral Analysis

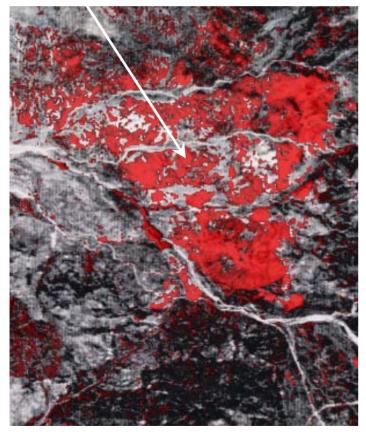


Analysis by Mary Martin University of New Hampshire

Hyperspectral Image Provides Geological Data

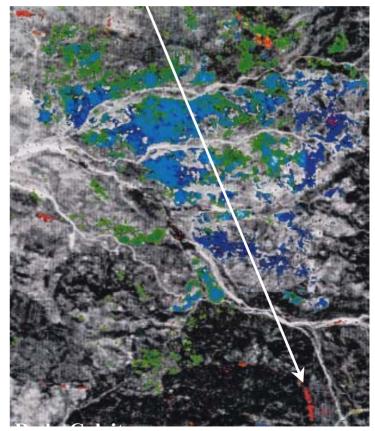
GEOTHERMAL AREA

(no specific mineral information)



MULTISPECTRAL ANALYSIS

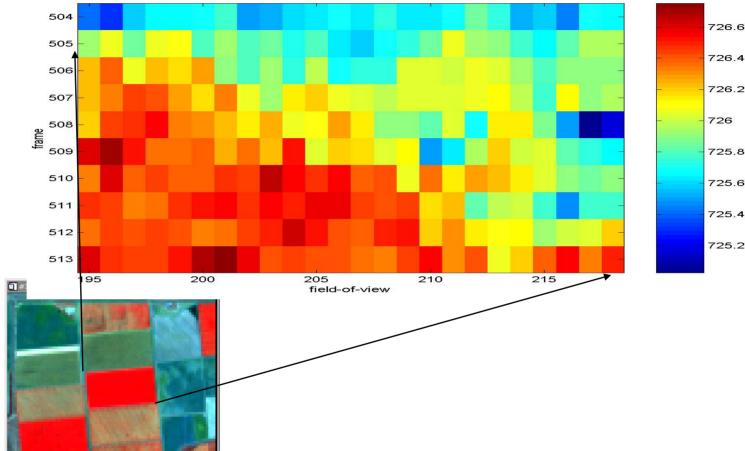
CALCITE (gold bearing quartz)



HYPERSPECTRAL ANALYSIS

Analysis courtesy AIG Limited Liability Company

Analysis of Red Edge Shift- sample process



011033 TOF 1 AUGOCK 020



Now, Let's Classify the Data!

Pixel-Based Supervised Classification Methods for Hyperspectral Data

Important Issues for Classification of Hyperspectral Data

• Impact of noise and calibration

- Lower SNR than corresponding multispectral data
- Striping in data acquired by pushbroom sensors
- Data susceptible to atmospheric artifacts and sensor anomalies

• Large input space

- Enormous number of parameters to estimate
- Sparse data in hyperspectral domain
- Adjacent bands are often highly correlated
- Quantity of training data typically limited
- Potentially large output space
 - Possible overlapping spectral signatures

Approaches to Resolve Issues

- High dimensional input spaces
 - Simplify or reduce dimension of input space via extraction
 - Subset feature selection
 - Projection of original features on a lower dimensional space
 - Regularize covariance matrix of input space
 - Utilize unlabelled samples via semi-supervised learning
 - Develop ensembles of classifiers
- High dimensional output spaces
 - Output space decomposition
- Utilization of nonparametric classifiers

Dealing with High Dimensional Input Spaces

Feature Selection/Extraction

• Goals

- Reduce no. observations required to train the classifier
- Reduce computational complexity
- Improve classification accuracy
- Desired Properties of Extractors
 - Class dependent
 - Exploit band ordering
 - Transformations should maximize discrimination among classes

• Selection vs extraction

- Selection of subset of original features
 - Less redundancy, but potentially some information loss
 - Better interpretability (domain knowledge)
 - Possibly improved generalization
- Extraction
 - Typically computationally superior to selection
 - No loss of information as all features retained
 - Resultant features unrelated to physical phenomena

Approaches to Feature Extraction

- Principal Component Transform [Anderson (1984)]
 - Orthogonal linear combinations of the *p* original bands with maximum variance. At pixel *x*,

$$\boldsymbol{Z}(\boldsymbol{x}) = \left\{ Z_i(\boldsymbol{x}), i = 1, \dots p \right\}^t$$

- Formulated as an optimization problem

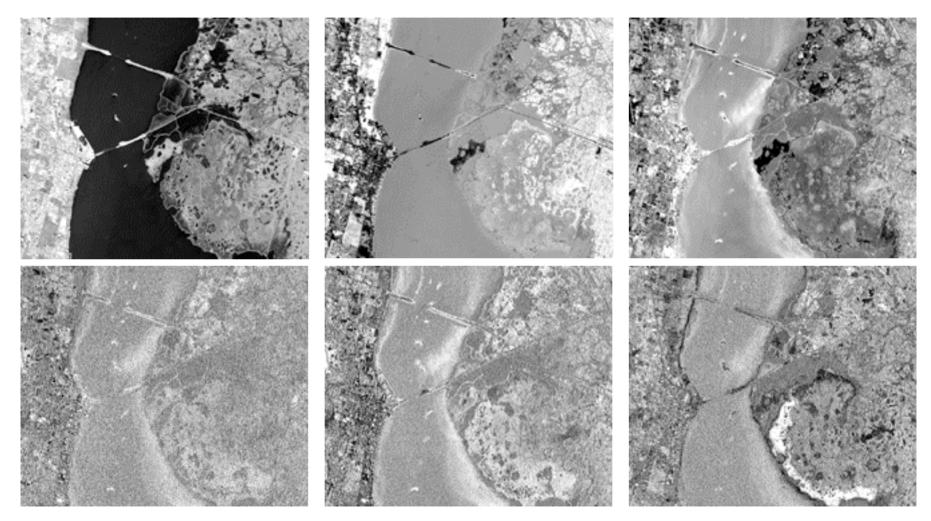
Max
$$a_i^t \Sigma a_i$$

subject to
 $a_i^t a_i = 1, \quad i = 1,...,p$
 $E\left\{a_i^t Z(x)Z(x)^t a_j\right\} = 0 \quad \forall i \neq j, \quad j = 1,...i$

- Solution is a subset of successively ordered eigenvalues of the covariance matrix Σ ; a_i are corresponding eigenvectors

- Characteristics of Principal Component Transform
 - Developed to maintain variance of data in smaller set of orthogonal inputs
 - Order of components not necessarily related to data quality
 - Components have no physical relationship to the targets
 - PCT data are image content dependent (poor generalization)
 - Does not exploit the adjacency of correlated bands
 - Maximum variability has no inherent relationship to criterion for classification
 - Sensitive to outliers

Principal Components of AVIRIS Data



Segmented Principal Component Transformations [Jia and Richards (1999)] Class 1 Class 2

- Detect boundaries in correlation matrix, ____ compute PCT's and select subset. Further prune using Bhattacharya distance
- Characteristics of SPCT
 - Restricted to two-class problems
 - Utilizes adjacent band correlation structure ٠
 - Not related to discrimination measures
 - Sensitive to outliers



Class 4



Class 5





Class 10



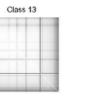
Class 11

All the classes



Class 12





Class 6

Class 3

- Maximum Noise Fraction Transform [Green, Berman, Switzer, and Craig (1988)]
 - Uncorrelated linear combinations of bands that maximize "noise fraction" for each band.

$$Z(x) = \{Z_i(x), i = 1, ..., p\}^t = S(x) + N(x)$$

where

 $\Sigma_{Z} = \Sigma_{S} + \Sigma_{N} \quad \Sigma_{Z}, \Sigma_{N}$ known

– Define "noise fraction" at each pixel *x*

$$NF_{i}(x) = Var\{N_{i}(x)\}/Var\{Z_{i}(x)\}, i = 1,...p$$

 Formulated as an optimization problem similar to PCT, except that orthogonal linear combinations are sought to maximize the Noise Fraction

$$Max \left[NF_{i}(x) = a_{i}^{t} \Sigma_{N} a_{i} / a_{i}^{t} \Sigma_{Z} a_{i} \right]$$

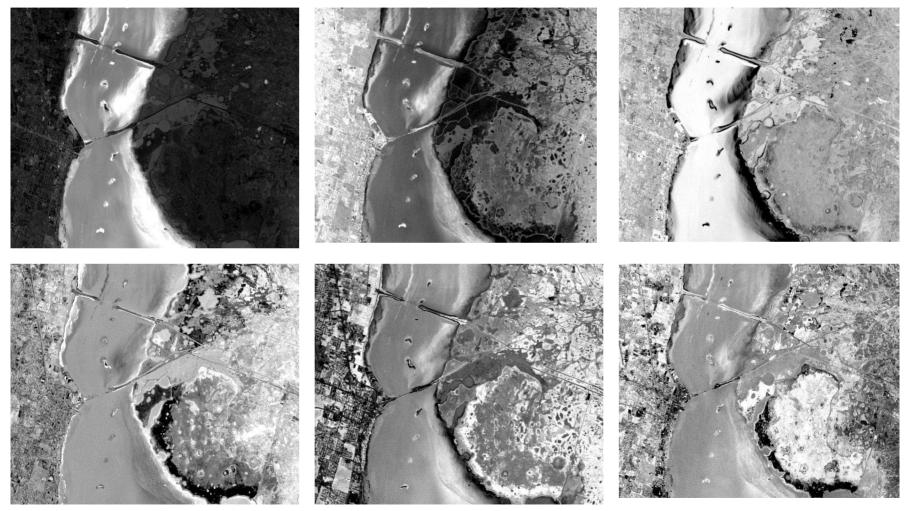
subject to
$$a_{i}^{t} \Sigma_{Z} a_{i} = 1 \quad i = 1, \dots p$$
$$E \left\{ a_{i}^{t} Z(x) Z(x)^{t} a_{j} \right\} = 0 \quad \forall i \neq j, \ j = 1, \dots i$$

- Optimal weights: $a_i^* = \text{eignvectors of } \Sigma_N \Sigma^{-1}$

- Characteristics of MNF Transform

- Developed to filter noisy bands, then transform data back to original domain
- Ordering related to quality of tranformed data
- Invariant under data rescaling
- Does not exploit the adjacency of correlated bands or class specific information
- Resultant bands of transformed data *may* be related subjectively to phenomena, but are not related to discrimination between classes

MNF Bands for AVIRIS Data



- Fisher's Linear Discriminant [Anderson (1984)]
 - Seeks projection matrix A^* to maximize separation between classes $\Omega = \{\omega_i, i = 1, ..C\}$

$$Max \; \frac{\left| \boldsymbol{A}^{t} \boldsymbol{B} \boldsymbol{A} \right|}{\left| \boldsymbol{A}^{t} \boldsymbol{W} \boldsymbol{A} \right|}$$

where

$$\boldsymbol{B} = \sum_{\omega \in \Omega} |X_{\omega}| (\mu_{\omega} - \overline{\mu}) (\mu_{\omega} - \overline{\mu})^{t} \quad \boldsymbol{W} = \sum_{\omega \in \Omega} \sum_{x \in X_{\omega}} (x - \mu_{\omega}) (x - \mu_{\omega})^{t}$$

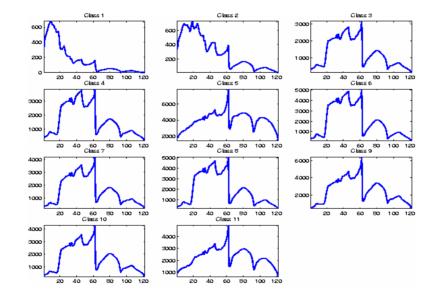
 A^* = generalized eigenvectors of $W^{-1}B$ (dim $\leq C-1$)

- Characteristics of Fisher's Linear Discriminant
 - Requires a trained classifier
 - Poor discrimination well between classes with similar means
 - Classes with "different" variance dominate the combination
 - Ignores band ordering
 - Problematic for hyperspectral data with small training sample due to required matrix inversion

- Decision Boundary Feature Extraction [Lee and Landgrebe (1997)]
 - Computes a decision boundary that determines the projection of the hyperspectral space for a two-class problem
 - *C*-class problem solved using wtd sum of 2-class decision boundary feature matrices
 - Requires trained classifier
 - Ignores band ordering
- Projection Pursuit [Jimenez and Landgrebe (1999)]
 - Compute projection for two classes based on Bhattacharya distance
 - Subsets constrained to be smaller groups of adjacent bands
 - One set of features selected for all classes
 - C-class problem uses sum of discriminatory power for pairs (problematic for large no. of classes)

- Best Bases Band Aggregation [Kumar et al. (2002); Morgan et al. (2004)]
 - Adjacent bands merged based on correlation measure (or product of correlation and Fisher discriminant)
 - Implemented in Top-Down and Bottom-Up approaches
 - Characteristics
 - Exploits band adjacent correlations, maintains unique individual bands
 - Features are class specific
 - Affects signal to noise ratio in a non-uniform way
 - Mitigates impact of small training sample problem

- Polyline Feature Extraction [Henneguelle et al. (2003)]
 - Approximate mean spectrum via piece-wise polynomials (usually linear).



- Select break points via top-down or bottom-up methods and determine parameter values (Linear: slope, midpoint of segment)
- Parameters of piece-wise functions become input features to classifier

- Characteristics
 - Exploits band adjacency
 - Features are class dependent
 - Computationally efficient
 - Provides approximation to derivatives
 - Features robust for generalization
 - Sensitive to stopping criterion (no. of bands vs error in approximation)

• Discrete Fourier Transform

- Describes band sequence via trigonometric functions
- Subset of modes selected to represent features
- Characteristics
 - Multiscale representation of spectral signature
 - Difficult to determine optimal modes to represent original problem
 - Computation unrelated to class discrimination
 - Modes may contain domain knowledge

- Discrete Wavelet Transform [Bruce et al. (2001, 2002); Kaewpijit et al. (2003)]
 - Multiscale method that accounts for both frequency and position
 - Describes band sequence via discrete wavelet transform
 - Implemented in conjunction with a selection method
 - Characteristics
 - Provides robust features
 - No. of inputs must be a power of 2
 - Accomplished via padding or interpolation
 - Criterion unrelated to class discrimination

Approaches to Feature Selection

- Selection techniques can be implemented individually, or in conjunction with extraction methods
- Methods that guarantee optimal solutions
 - Exhaustive search
 - Branch & bound (only if objective function is monotonic)

• Heuristic methods

- Characteristics
 - Typically produce sub-optimal solutions
 - Developed to reduce computational complexity
 - Traditionally implemented via sequential forward selection or backward elimination

Heuristic Feature Selection Methods

- Sequential Floating Search Methods [Pudil et al. (1994); Serpico and Bruzzone (2001)]
 - Evaluate a number of features for elimination/forward selection for each feature (group) added/eliminated
- Tabu Search [(Zhang and Sun (2002); Korycinski et al. (2003)]
 - Developed for solution of combinatorial optimization problems
 - Non-greedy heuristic that adaptively and reactively adjusts search space, flexible in number of features evaluated at each iteration
- Various simulated annealing and genetic algorithms [Siedlecki and Sklansky (1988, 1989)]

Regularization of Covariance Matrix

- Methods to regularize estimated covariance matrix
 - Pseudo-inverse [Fukanaga (1990); Skurichina and Duin (1996); Raudys and Duin (1998)]
 - Poor performance when ratio of amount of training data to input dimension is small
 - Exhibits "peaking" effect at |X| = d/2
 - Shrinking and pooling covariance matrices [Tadjudin and Landgrebe (1999)]
 - Reduce variance of estimates, but introduce bias

Incorporation of Unlabeled Samples

• Semi-supervised learning

- Augment existing training data with unlabeled samples [Jeon and Landgrebe (1999); Tadjudin and Landgrebe (2000); Jackson and Landgrebe (2001)]
 - Classify unlabeled observations and update estimates, usually via EM algorithm
 - Alternatively perturb sample data
- Effective for generalization and knowledge re-use
- Sensitive to original training samples, impacted by outliers

Dealing with Large Output Spaces

Multiclassifier Systems

• Multiclassifier Systems

- Ensembles of base classifiers that are learned individually to produce an aggregated predictor
- Characteristics
 - Component classifiers often weak
 - Decision boundaries for individual classifiers typically simple
 - Generalization typically superior, if classifiers are diverse
- Goals
 - Improve classification accuracy and generalization
 - Reduce complexity and improve interpretation
 - Enhance transferability of classifiers to new problems or beyond spatial extent of training data
 - Mitigate the impact of sensor artifacts
 - Improved utilization of small quantity of training data

• Challenges

- Achieving highly diverse, but relevant classifiers
- Maintaining interpretability

Multiclassifiers from Input Space Decomposition

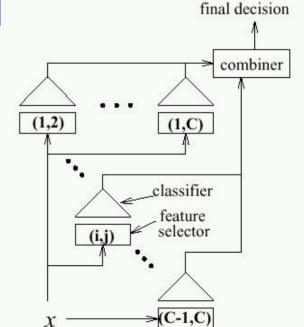
- Selecting sub-samples of original data, creating classifiers, and developing a classifier for each sample. Combine results from individual classifiers
 - Perform poorly for extremely small sample sizes as ensemble methods cannot overcome lack of diversity
- Bagging [Brieman (1996)]
 - Create multiple samples with replacement; develop individual classifiers
- Arcing
 - Adaptively reweighting and combining (e.g. boosting) [Freund and Schapire (1996); Dietterich (2000)]
 - Simple random sub-sampling [Ho (1998); Skurichina and Duin (2002)] (See previous slides for details)

• Random subspace selection methods [Ho (1998); Skurichina and Duin (2002)]

- Utilized in conjunction with multiclassifier systems
 - Randomly sample input features for each classifier
 - Combine outputs of multiple classifiers via voting or maximizing aposteriori probabilities
- Characteristics
 - Provides diversity and robust classifiers with good generalization
 - Reduces band redundancy, but gnores band adjacency, unless implemented with band aggregation (which reduces diversity)
 - Mitigates impact of small sample size problems and stabilizes parameter estimates
 - Computationally expensive
 - Does not provide domain knowledge on feature characteristics
 - Mitigates the impact of anomalous sensor behavior (striping)

Multiclassifier Systems: Output Space Decomposition

- C one-vs-rest problems [Anand et al. (1995)]
 - Classify individual classes vs the mixture of the "rest"
 - Can result unbalanced priors for Bayesian methods if sample sizes for some classes extremely small
 - Does not exploit class affinity, so convergence can be very slow
- Pairwise classifiers [Crawford et al.(1999); Kumar et al. (2001); Furnkranz (2002)]
 - Selects best set of features for class pairs, performs classification, then combines results for C-class problem via voting or maximum *aposteriori* rule
 - Can incorporate pairwise feature extraction
 - Yields $\binom{1}{2}$ classifiers
 - Potential coupling of outputs

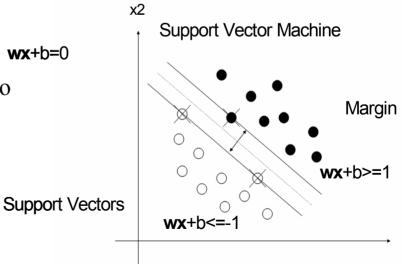


- Error correcting output codes [Dietterich and Bakiri (1995); Rajan and Ghosh (2004)]
 - C-class problem encoded as $\overline{C} >> C$ binary classifiers which are combined by voting
 - Each class assigned according to $\overline{C} \times C$ code book
 - Observations labeled as class with code closest to code formed by outputs of \overline{C} classifiers
 - Characteristics
 - Implemented with user-selected classifiers
 - Feature selection/extraction tunable to pairwise classifiers
 - Output dependent on classifier separation
 - Reduces impact of small sample sizes
 - Does not exploit natural class affinities

		1	2	3	4	5	6	7	8	9	10	11	12	13	14
	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0
rs	2	1	1	0	1	1	0	0	1	0	1	0	0	0	1
	3	0	1	1	0	1	0	1	1	1	1	0	0	1	0
	4	1	0	1	1	0	0	1	0	1	0	0	0	1	1
	5	1	1	0	1	0	1	1	1	1	0	0	1	0	0
	6	0	0	0	0	1	1	1	0	1	1	0	1	0	1
า	7	1	0	1	1	1	1	0	0	0	1	0	1	1	0
1	8	0	1	1	0	0	1	0	1	0	0	0	1	1	1
	9	0	1	1	1	0	1	1	0	0	1	1	0	0	0
	10	1	0	1	0	1	1	1	1	0	0	1	0	0	1
	11	0	0	0	1	1	1	0	1	1	0	1	0	1	0
	12	1	1	0	0	0	1	0	0	1	1	1	0	1	1
	13	1	0	1	0	0	0	0	1	1	1	1	1	0	0
	14	0	1	1	1	1	0	0	0	1	0	1	1	0	1
	15	1	1	0	0	1	0	1	0	0	0	1	1	1	0
	16	0	0	0	1	0	0	1	1	0	1	1	1	1	1

• Support vector machines [Vapnik (1995); Hsu and Lin (2002)]

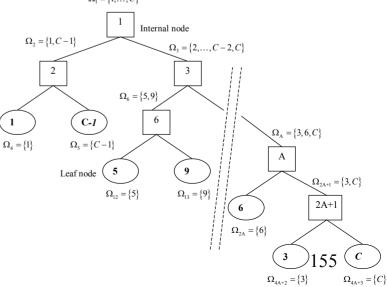
- Maximize the margin between two class samples instead of accuracies
- Characteristics
 - Formulated as an optimization problem for a binary classifier
 - Nonparametric
 - Potentially high dimensional decision boundary
 - Classification accuracies dependent on typically slow tuning wx+b=0
 - Tends not to overtrain, which leads to high classification accuracies and good generalization



Hierarchical Decomposition Methods

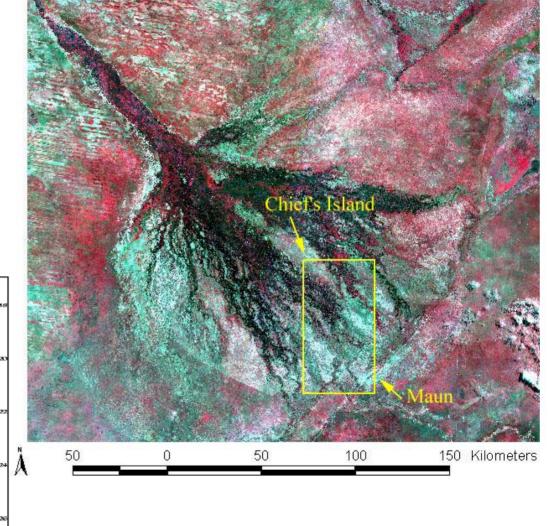
- Characteristics
 - Adopt a sequential "divide and conquer" approach involving decomposition based on input or output spaces
 - Maintain natural groupings with useful domain knowlege
- Hierarchical Decision Trees
 - Tree constructed sequentially based on series of binary questions and splitting rule which maximizes decrease in impurity of parent and child nodes
 - CART: Best split based on linear combinations of input features; Gini impurity index, post pruning [Brieman et al. (1984)]
 - C4.5: Impurity index based on entropy [Quinlan (1986)]

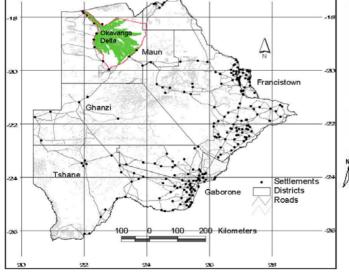
- Binary Hierarchical Classifier [Kumar et al. (2002); Morgan et al. (2004)]
 - Output space decomposition approach
 - C leaf nodes, C-1 internal nodes
 - Top down tree constructed via deterministic simulated annealing
 - Feature extraction/selection tunable to each internal node
 - Classifier specific to each internal node (Fisher discriminant, SVM)
 - Mitigates small sample problems
 - Exploits natural class affinities



Let's look at an example

Study Site: Okavango Delta, Botswana





28

30

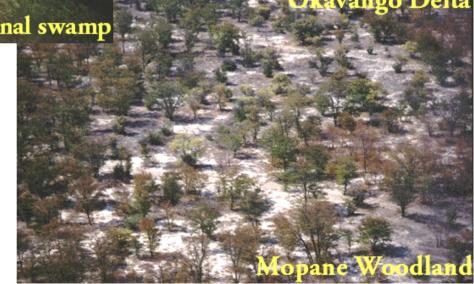
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Republic of Botswana

Classification Results: Okavango Delta



Classification scheme consists of 14 landcover classes selected using IKONOS imagery, aerial photography, and field campaigns



Hyperion Hyperspectral Data Inputs

• Hyperion hyperspectral data

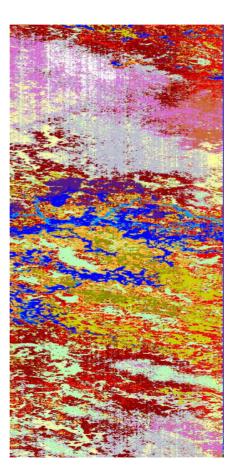
- Acquired by Earth Observer-1 Satellite May 31, 2001
- Converted to reflectances, destriped, georeferenced

Class #	Class Name	Sample Size
1	water	270
2	hippo grass	101
3	floodplain grasses1	251
4	floodplain grasses2	215
5	reeds	269
6	riparian	269
7	firescar	259
8	island interior	203
9	acacia woodlands	314
10	acacia shrublands	248
11	acacia grasslands	305
12	short mopane	181
13	mixed mopane	268
14	exposed soils	95

Design of Experiment for Random Forests

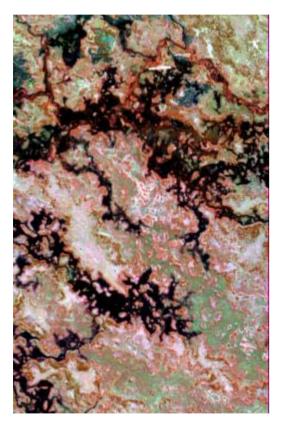
- Create 10 independent stratified samples of training/test data
 - Select 75%:25% of training data for training and test
 - Subsample from 75% to achieve 50, 15% sample size; test remains constant
 - Select spatially removed test sample
- Create BHC tree
 - Select bagged sample
 - Randomly sample input features
 - (D/5) or min(D/5, 20)
 - Develop tree
- Grow BHC Random Forest
- Compare to BB-BHC, RS-BHC, BB RS BHC, RF CART

Adequate Destriping is Critical for Classification

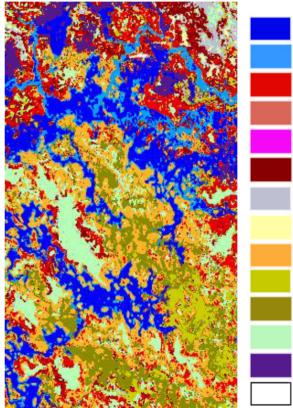


Classification Results with Poor Destriping

Classification Results: BHC Algorithm Okavango Hyperion



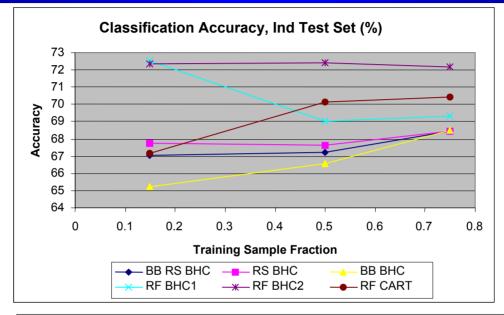
(a) Subset of Hyperion data (Bands 51, 149, 31),

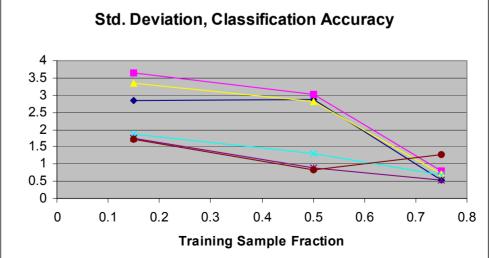




(b) Classified image of Hyperion data

Classification Accuracies, Independent Test Set





Next Steps - an interactive discussion